

2016  
August  
15 - 17  
TAIPEI  
TAIWAN

# esg5

5<sup>th</sup> IASPEI/IAEE  
International Symposium

## Effects of Surface Geology on Seismic Motion

Challenges of Applying Ground Motion  
Simulation to Earthquake Engineering

### TOPICS

- Ground Motion Simulation
- Soil Dynamic and Nonlinearity
- Applications of Microtremor Survey
- Near Fault Ground Motion
- Downhole Array Observation and Analysis
- Shallow Velocity Structure and Depth Parameters
- Seismic Hazard and Loss Assessment

### IMPORTANT DATE

- Abstract Submission  
Nov. 15th, 2015 - Feb. 15th, 2016
- Early Bird Registration  
Apr. 1st - Jun. 1st, 2016
- Full Paper Submission  
Mar. 15th - Jun. 1st, 2016
- Conference  
Aug. 15th-17th, 2016



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# Using Ambient Vibration Measurements for Risk Assessment at Urban Scale : from Numerical Proof of Concept to a Case Study in Beirut (Lebanon)

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Cécile Cornou<sup>1</sup> and Michelle Almakari<sup>1</sup>

1 - ISTERre University Grenoble-Alpes, France  
2 - Notre-Dame University-Louaizé, Lebanon



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ESG5, Taipei, Taiwan, 15/08/2016

# Outline

## Introduction

? Use of frequency information in large scale damage assessment

## Conceptual framework and comprehensive numerical simulation

SDOF elastoplastic oscillators on multilayered 1D (linear) soil profiles

ANN analysis

## Robustness and field applicability

? easily available site amplification proxy

NL soil behavior

(MDOF)

## Sense-check : example Application to Beirut City (Lebanon)

## Conclusions, caveats and further steps

# Introductory words

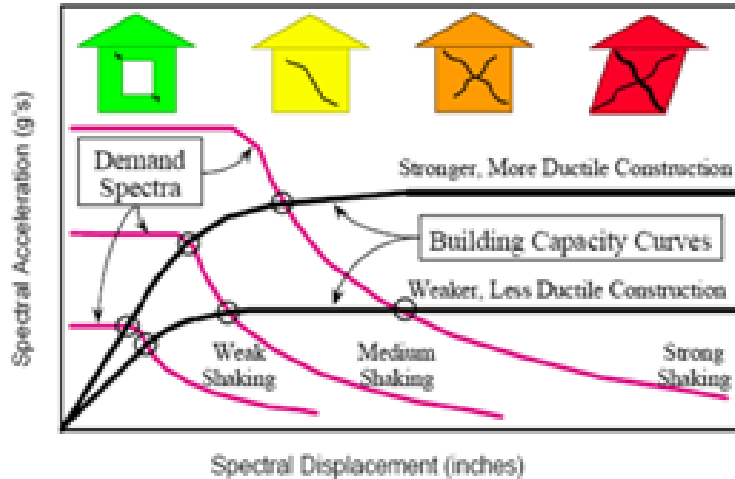
- Many examples of larger damage due to coincidence between soil and building frequencies
  - Mexico 1985, Kathmandu 2015, ...
  - Obvious for linear systems, not so much for NL systems
- Building specific studies (detailed information)
  - best GM proxy = SA ( $f_0$ ) or ASA ( $[0.6 - 1] f_0$ )
    - (Perrault & Gueguen, 2015; De Biasio, 2015)
- ? Urban scale (or larger) : Damage / Risk maps
  - Microzonation, site effects : rather quantitative assessment
    - Site characterization : Geology, VS30,  $f_0$  (H/V, ...)
    - Site amplification
  - Building surveys : most often only qualitative
    - Gross typology

Lack of consistency  
hazard / vulnerability

# Damage Estimation

Building scale :  
Mechanical methods

Large scale (urban) ?  
Macroseismic approach (Hazus, RISK-UE)



Purple: Seismic demand  
Black: Building Resistance

Individual scale = quantitative

**! Challenging !**

**(spatial variability)**

Estimate damages quantitatively on a large scale  
with more mechanical input including spectral coincidence



# Outline

## Introduction

? Use of frequency information in large scale damage assessment

## Conceptual framework and comprehensive numerical simulation

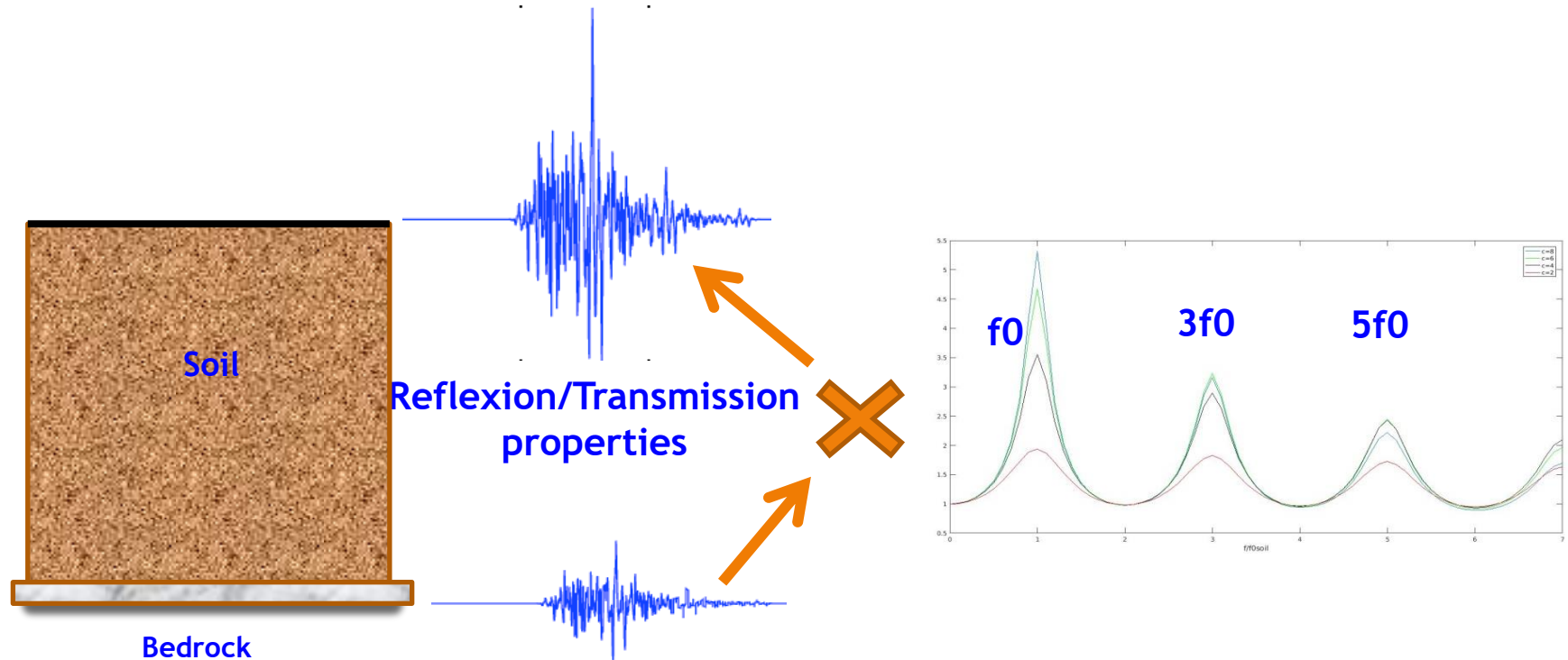
Elastoplastic SDOF oscillator on a single layer

Extension through comprehensive numerical simulation

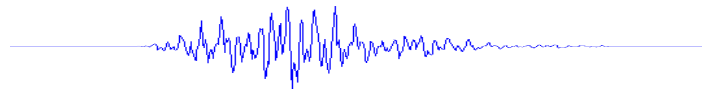
SDOF elastoplastic oscillators on multilayered 1D soil profiles

Neural network analysis

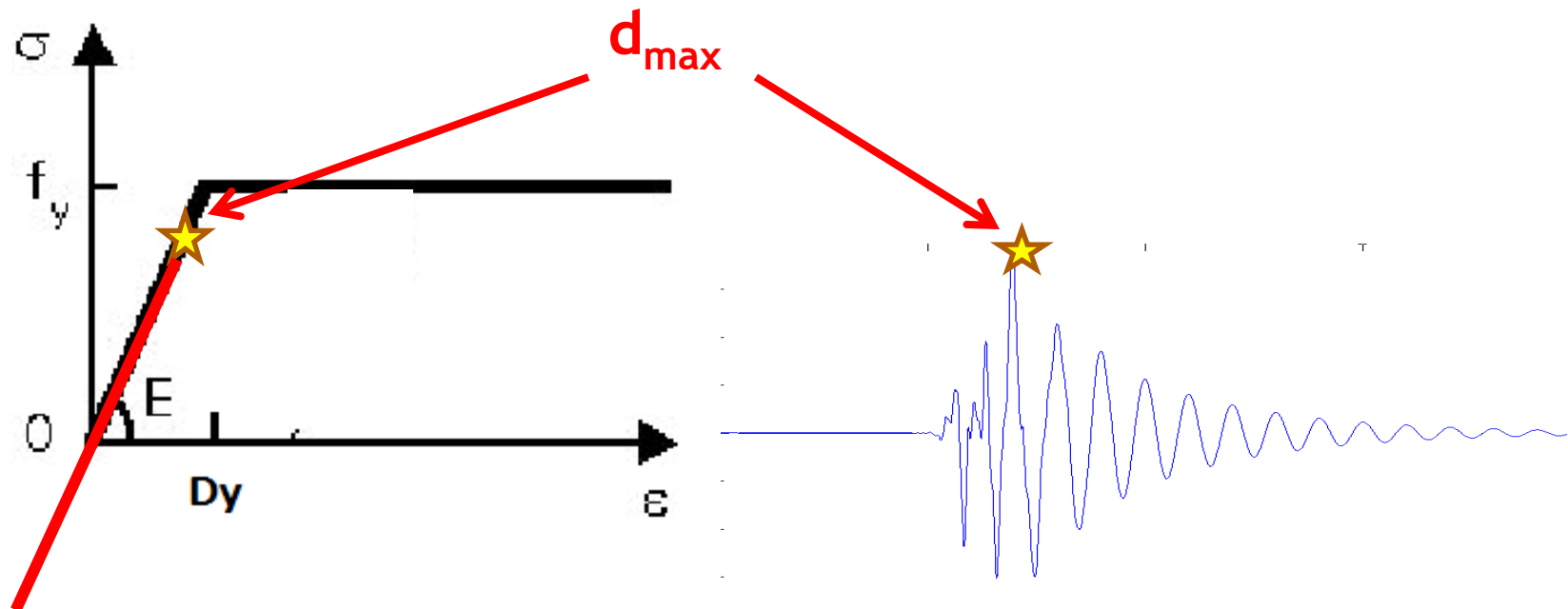
# Soil response



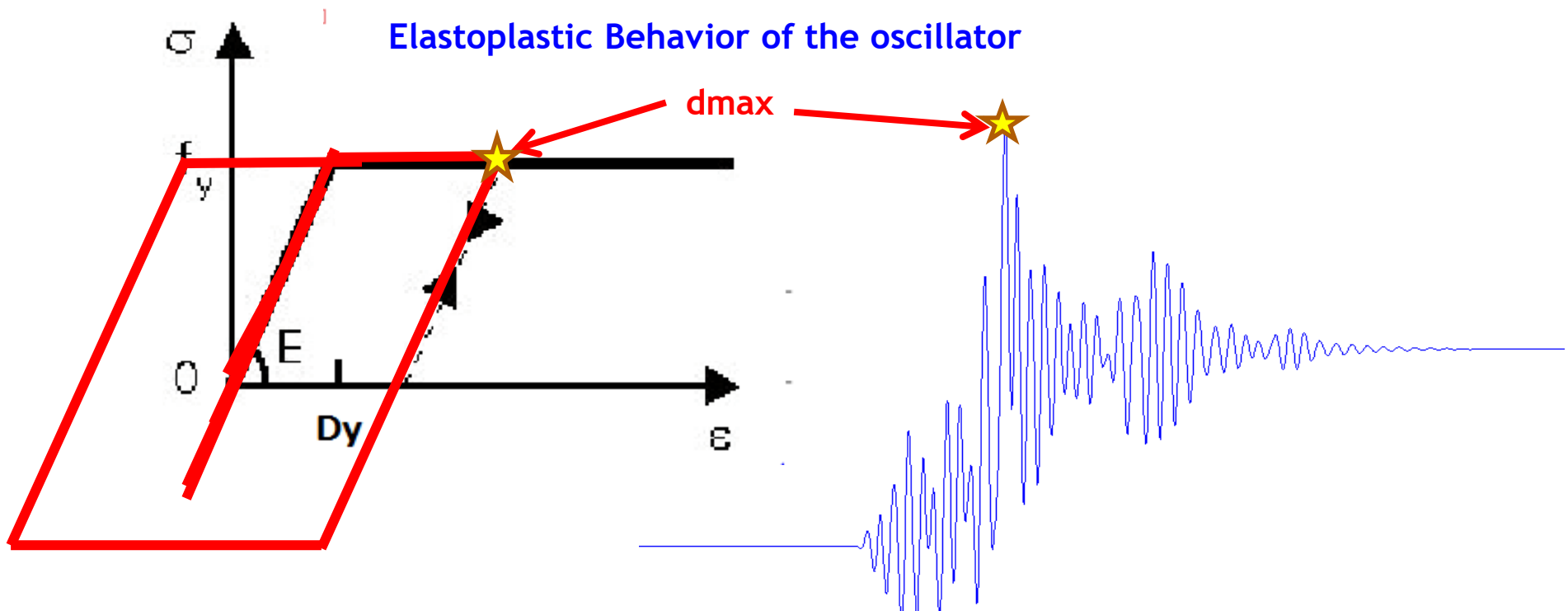
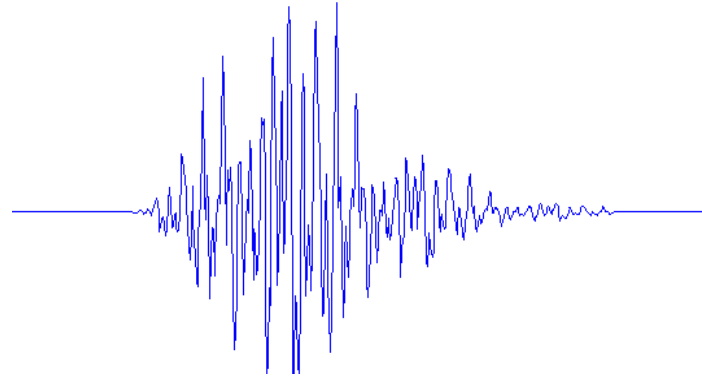
# Oscillator response : weak input (linear response)



Linear branch of the oscillator

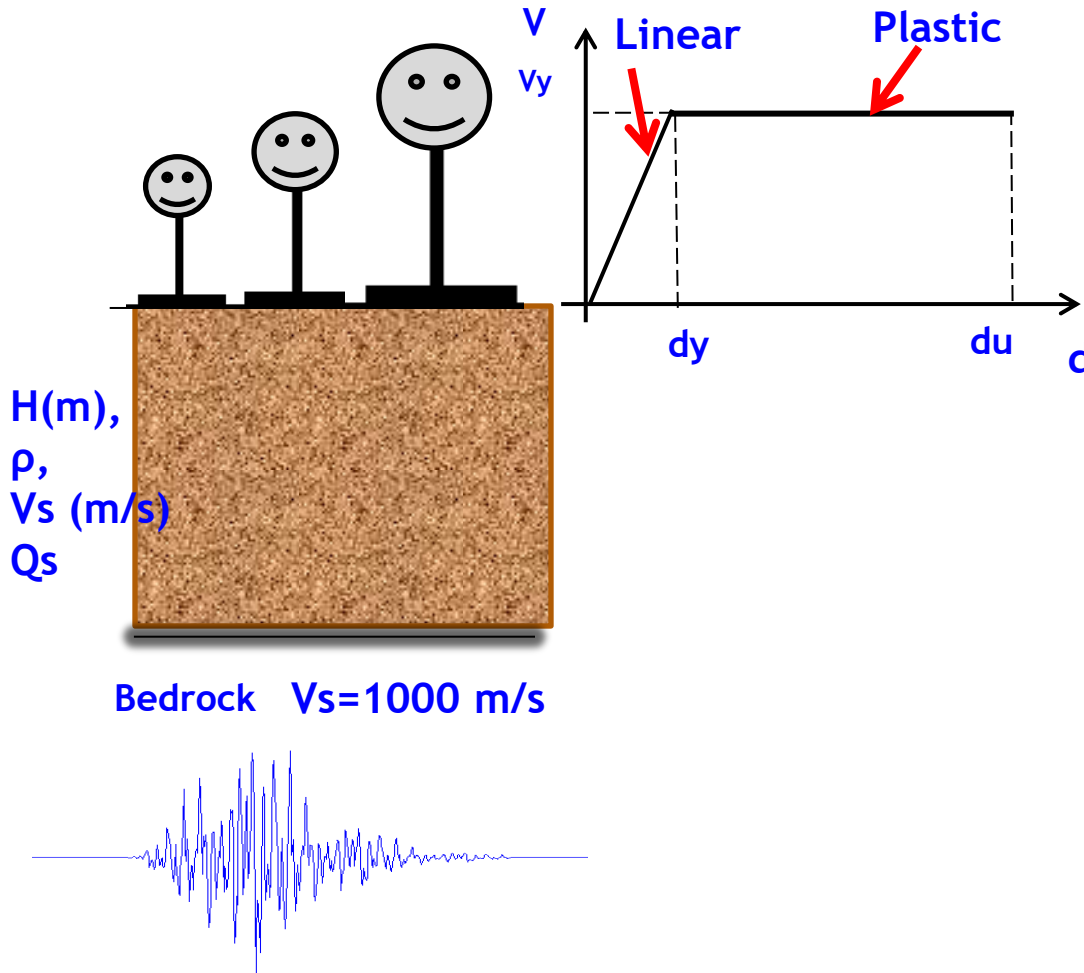


# Oscillator response : strong input (non linear domain)





# Conceptual framework : a simple illustrative example



**54 SDOF Elastoplastic oscillators**

$$9 \times f_{\text{structure}} = 1 \rightarrow 9 \text{ Hz}$$

$$6 \times dy = 0.005 \rightarrow 0.05 \text{ (m)}$$

**36 Linear single-layer sites (No SSI) :**

$$4 \times \text{Velocity Contrast} = 2 \rightarrow 8$$

$$9 \times f_{\text{soil}} = 1 \rightarrow 9 \text{ (Hz)}$$

**60 synthetic Input motion (Sabetta and Pugliese 1996 : nonstationary):**

$$5 \times \text{Magnitude} = 3 \rightarrow 7$$

$$4 \times \text{Distance} = 5 \rightarrow 100 \text{ (km)}$$

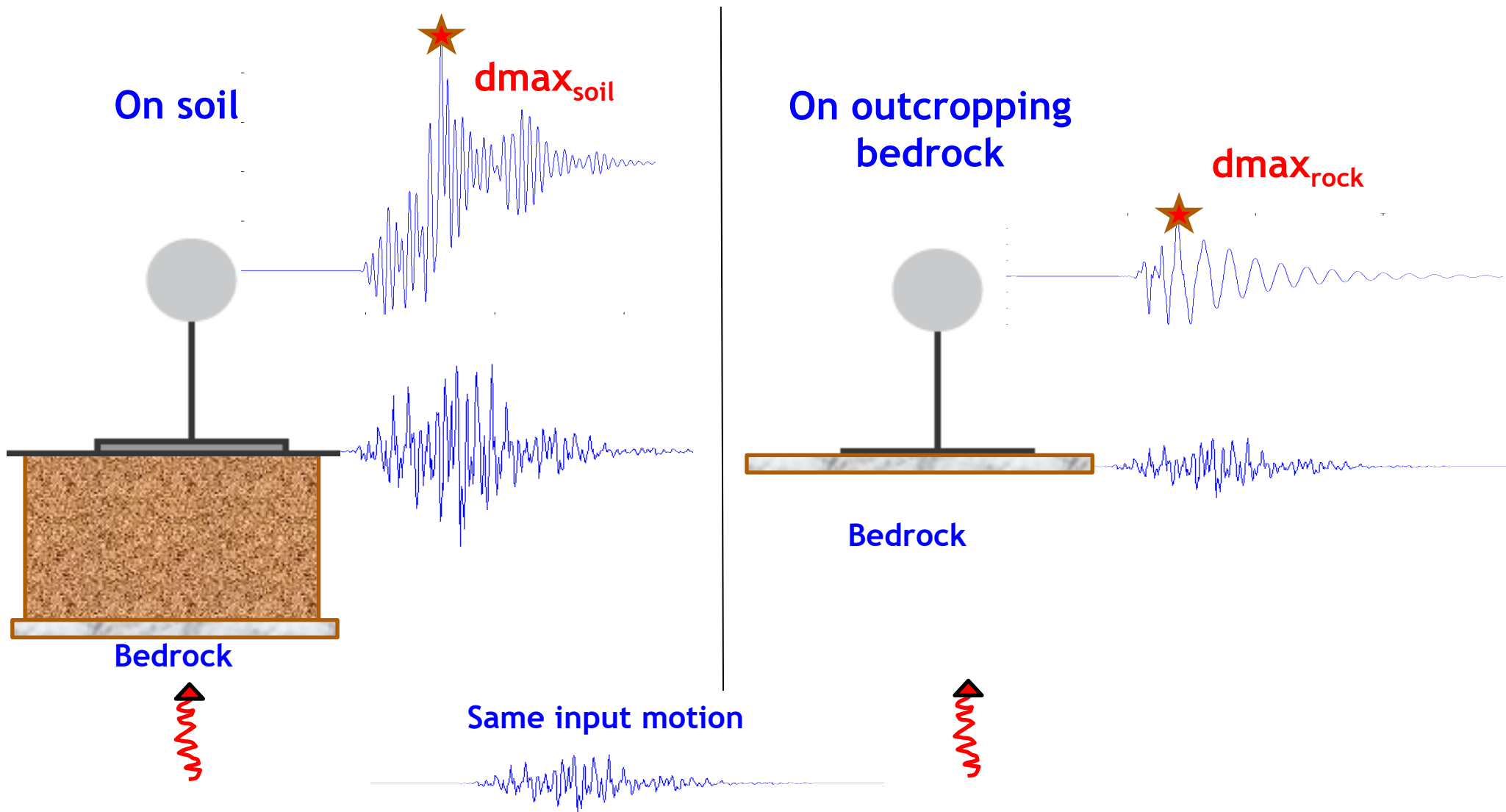
$$\text{PGA} = 0.02 - 8.6 \text{ (m/s}^2\text{)}$$

---

**116 640 Models**

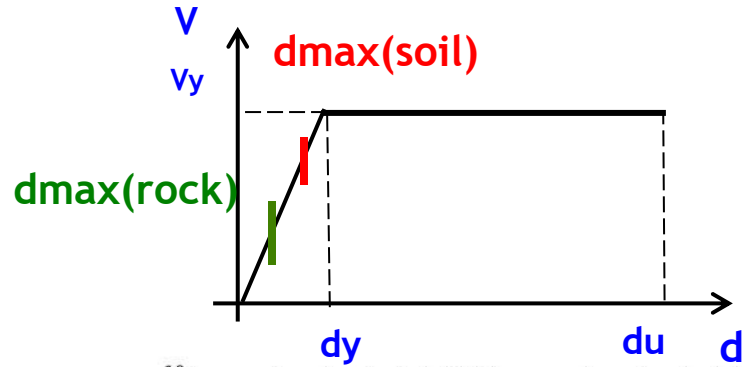
# Comparison soil / rock

$d_{\max_{\text{soil}}} / d_{\max_{\text{rock}}}$

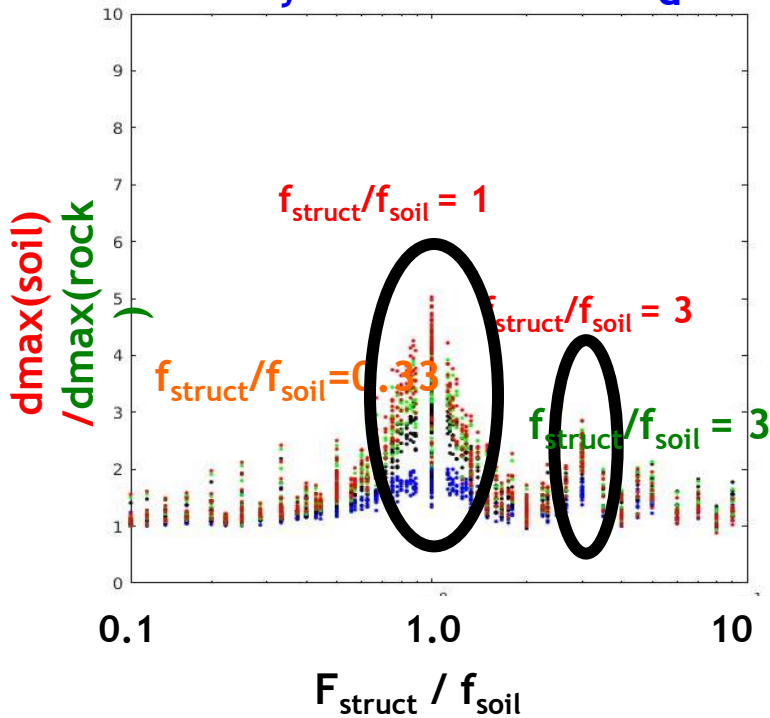
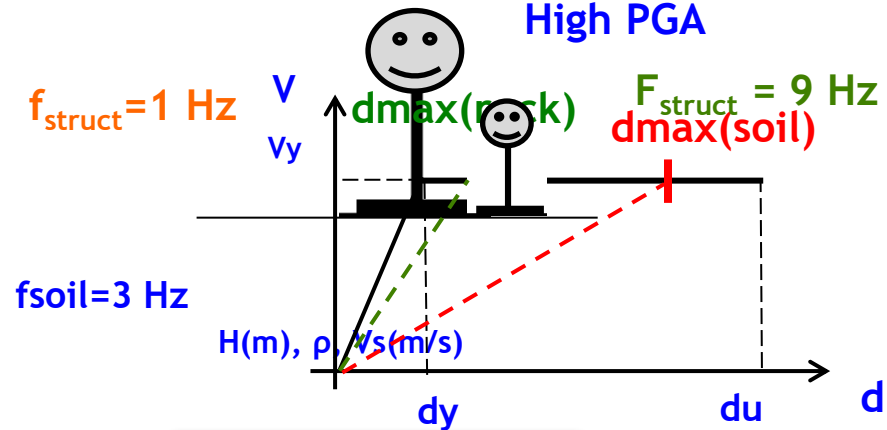


# Statistical analysis for the simple case

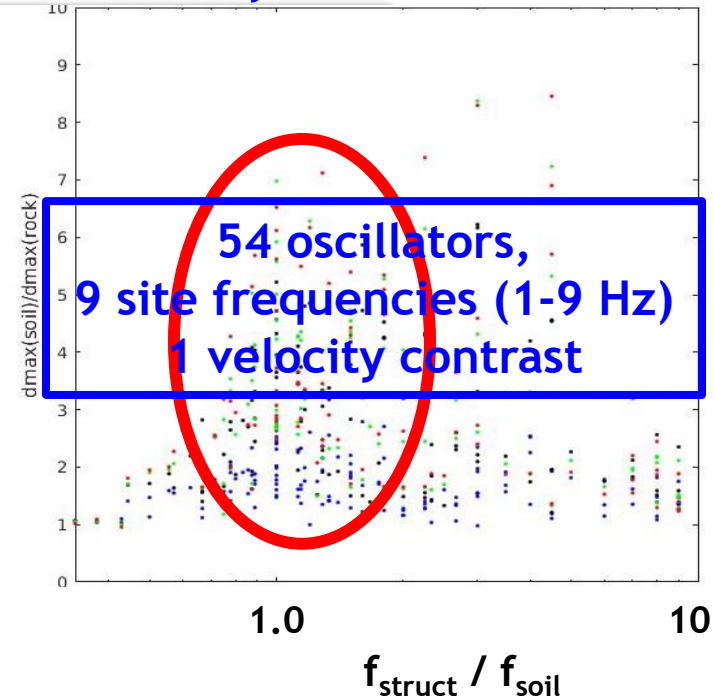
Low PGA / linear response



High PGA

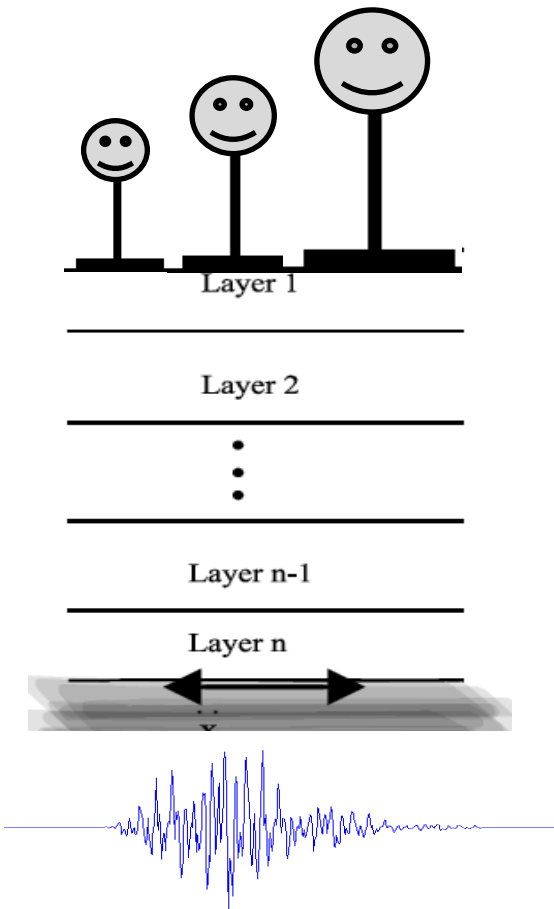


- C=2
- C=4
- C=6
- C=8



Non-linear behavior of the structure

# Realistic (less unrealistic...) case: real soil profiles



**Risk-UE typologies : 141 SDOF elastoplastic oscillators**

$f_{\text{struct}}, d_y, d_u$

classified into 5 typology classes:

1 = Masonry; 2 = Non-designed RC;

3 = RC Low ductility;

4 = RC Medium ductility; 5) RC High ductility

**887 multilayered linear soils (still no SSI):**

614 KiKnet + 251 USA + 22 Europe

$f_{\text{soil}} = 0.2-39 \text{ Hz}$

$V_{s30} = 111 - 2100 \text{ m/s}$

depth = 7-1575 m

**60 synthetic Input Signal:**

Magnitude = 3 → 7, Distance = 5 → 100 km

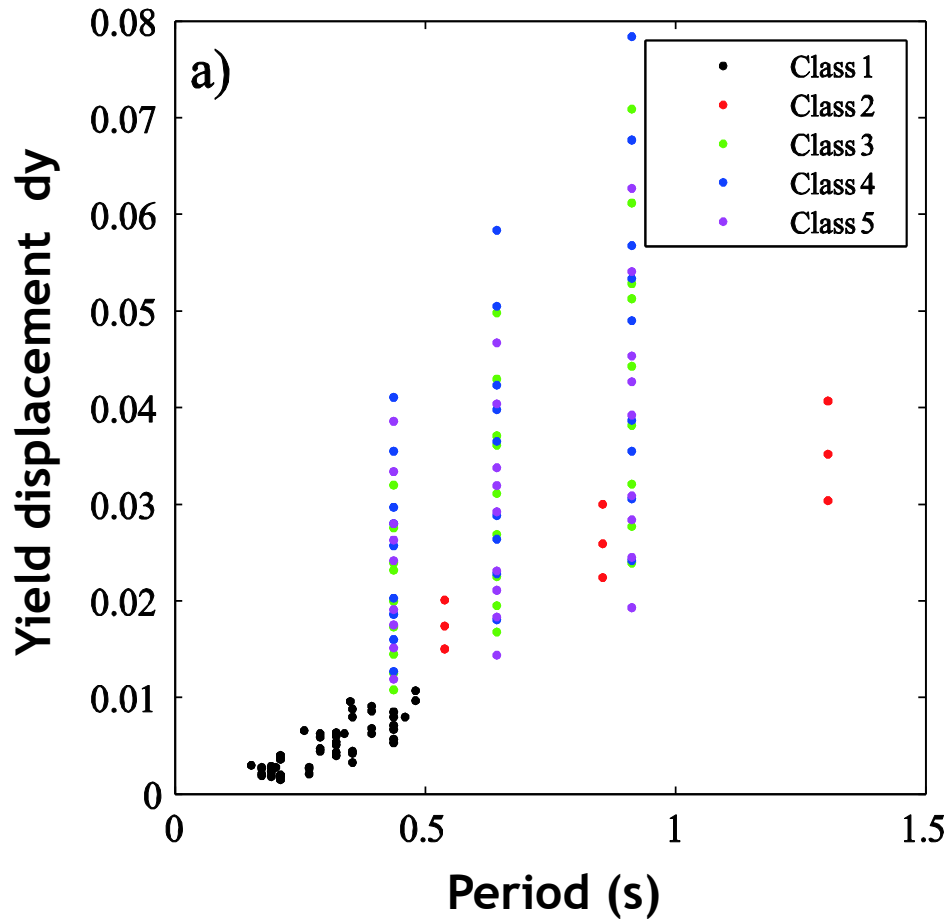
PGA = 0.02- 8.6  $\text{m/s}^2$

---

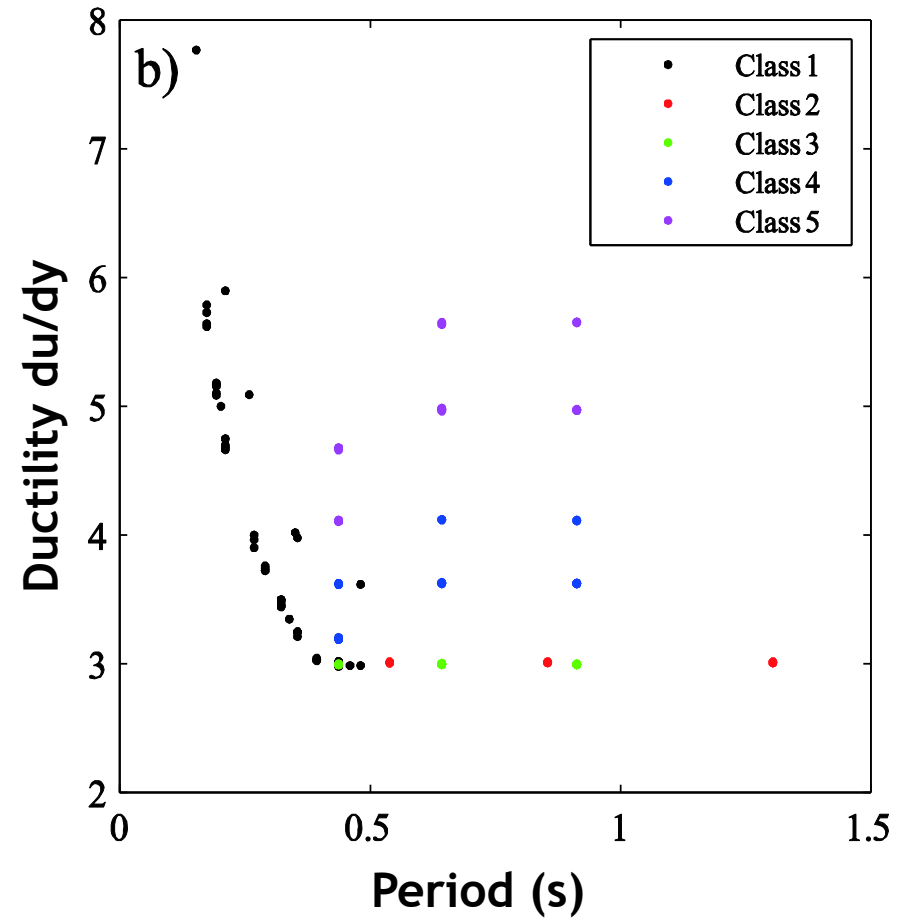
**~7.5 MILLION MODELS!!!**

# Oscillator characteristics

## Distribution $d_y - T_{struct}$

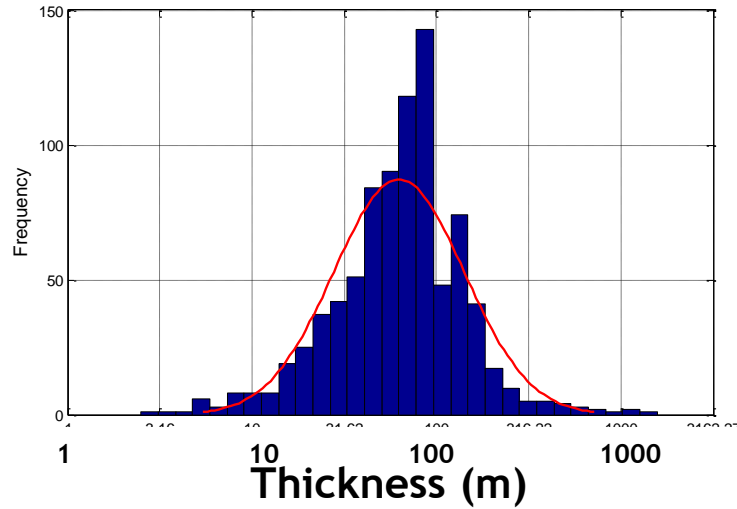


## Distribution $du/dy - T_{struct}$

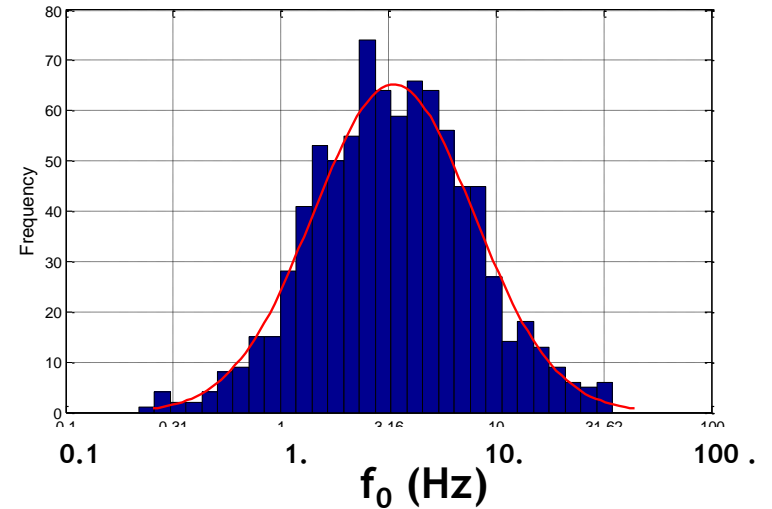


# Distribution of site characteristics

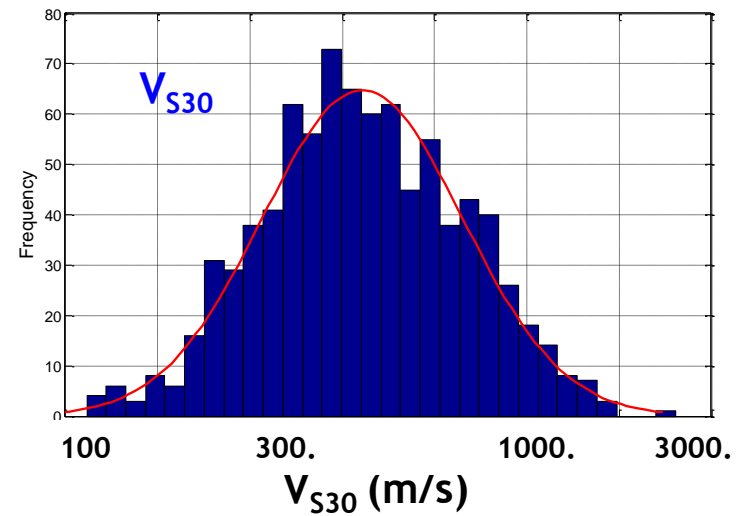
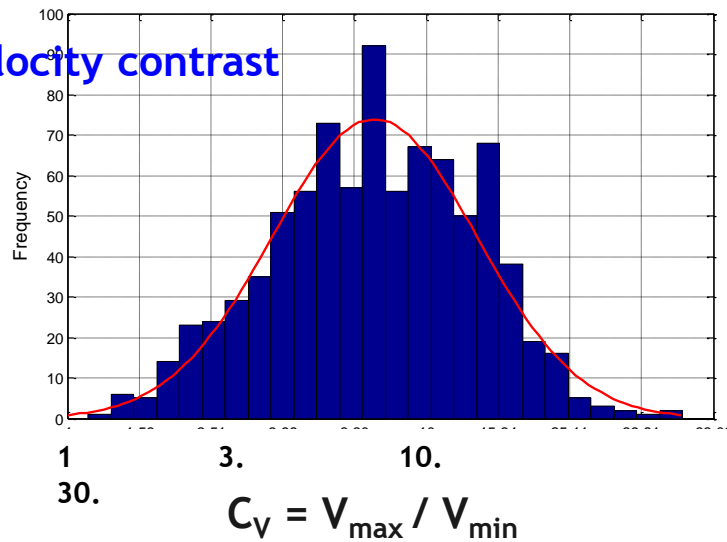
## Sediment Thickness



## Fundamental frequency



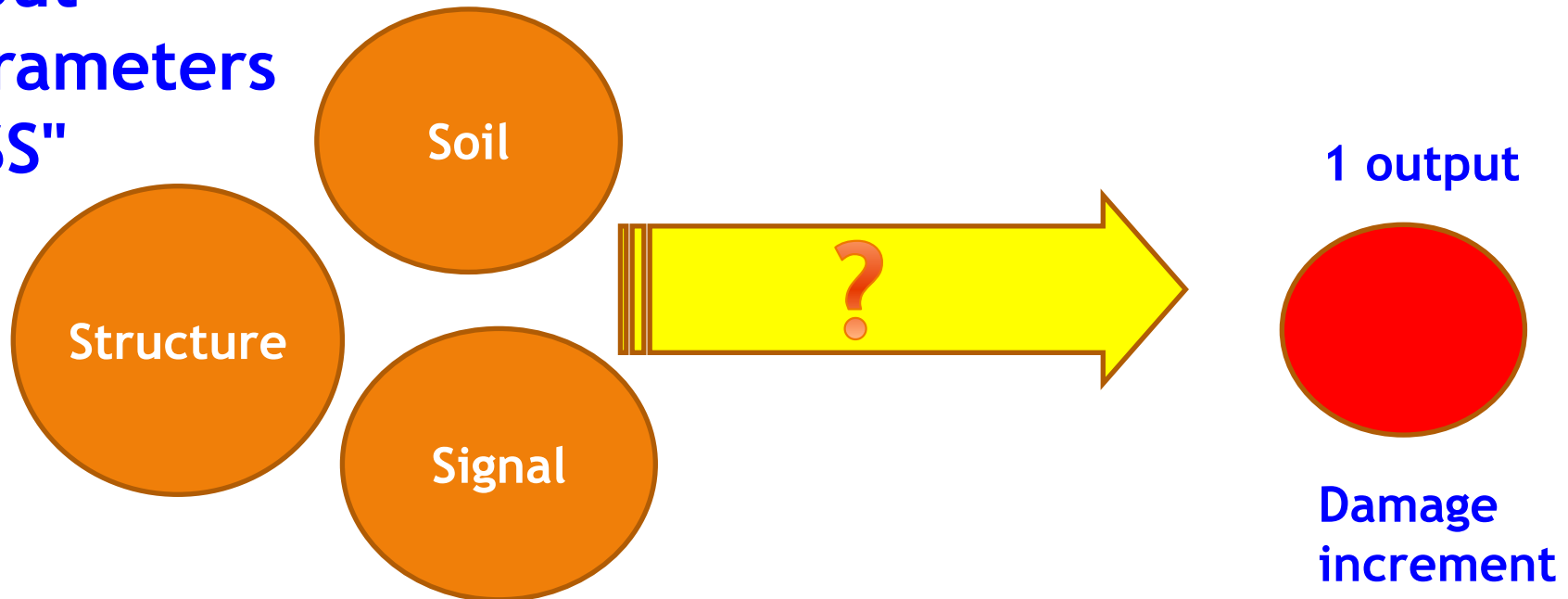
## Velocity contrast



# Classical statistical analysis?

**~7.5 MILLION MODELS!!!**

**Input  
parameters  
"SSS"**



**Artificial Neural Network ANN**

# Neural network approach

## Goal

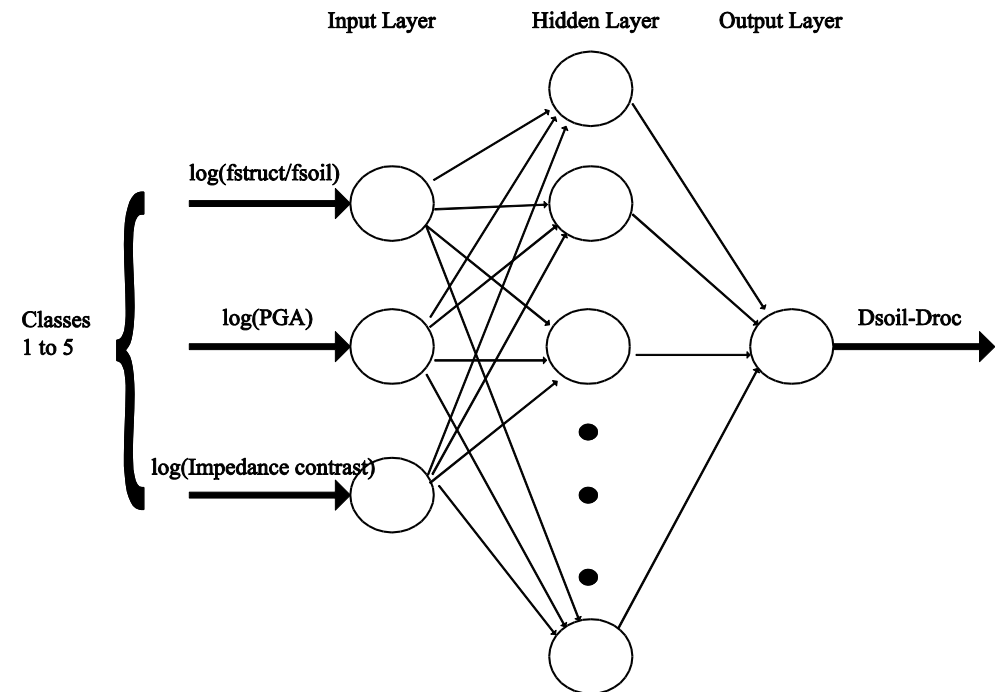
- to look for statistical relationships between pre-selected input and output variables, without any a priori on the functional forms

## Principle (ML perceptron)

- Combination through weighed sums ("synaptic weights") and "activation functions"
- Introduction of a "hidden layer"

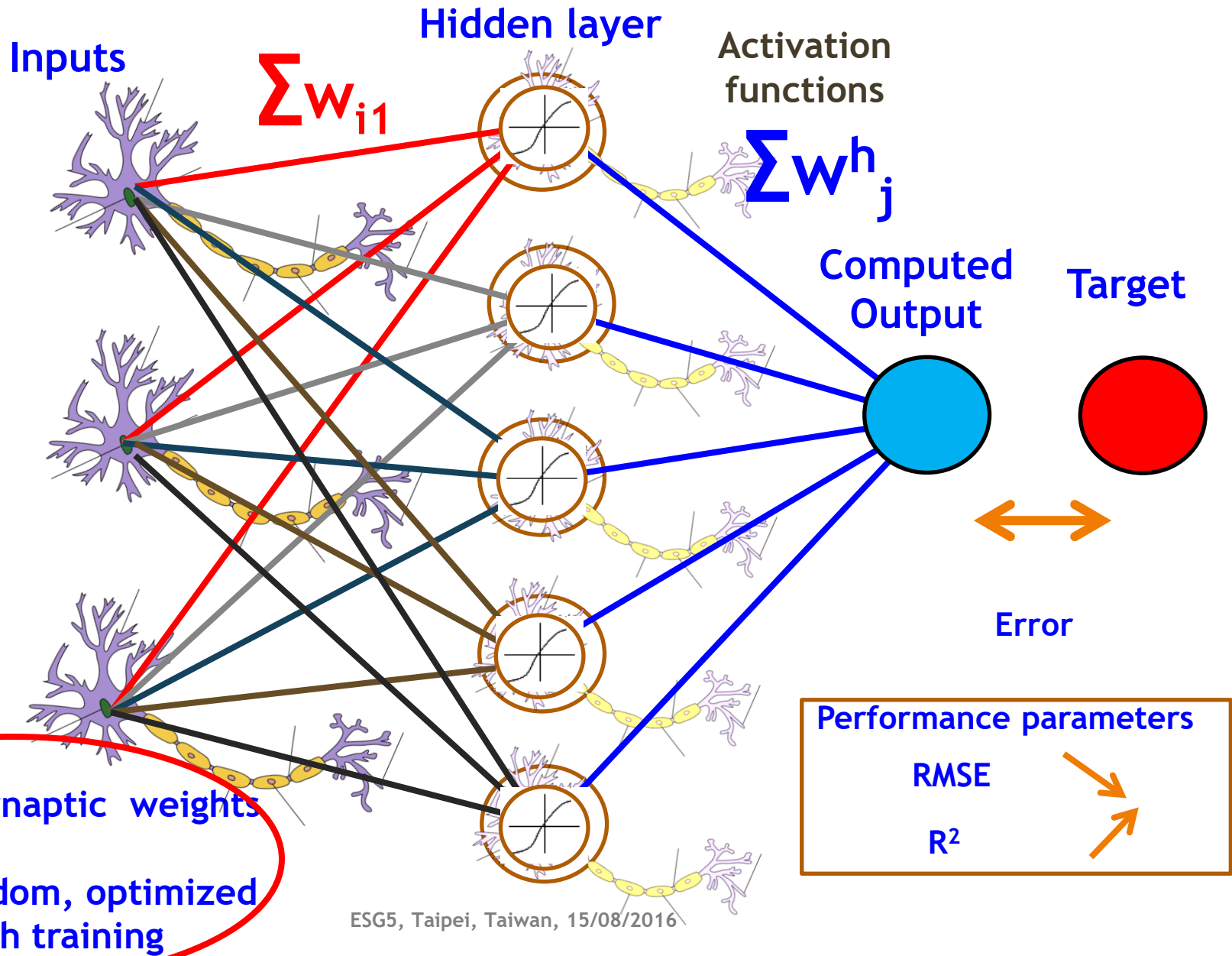
## Implementation

- Selection of input and output parameters
- Learning, validation and test sets : 70%, 15%, 15%
- Optimizing
  - Number of neurons in the hidden layer
  - Activation functions
  - Training algorithm

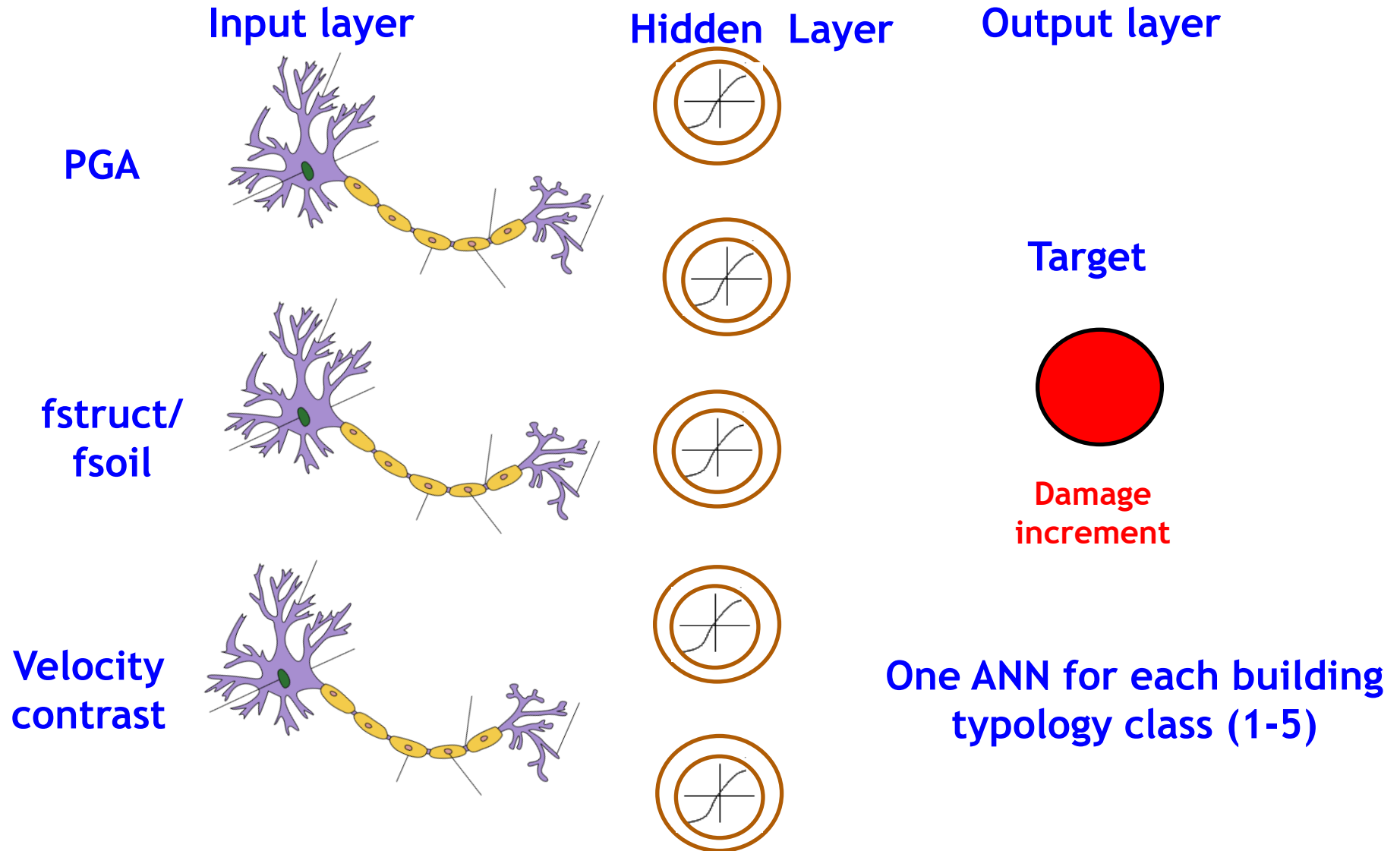




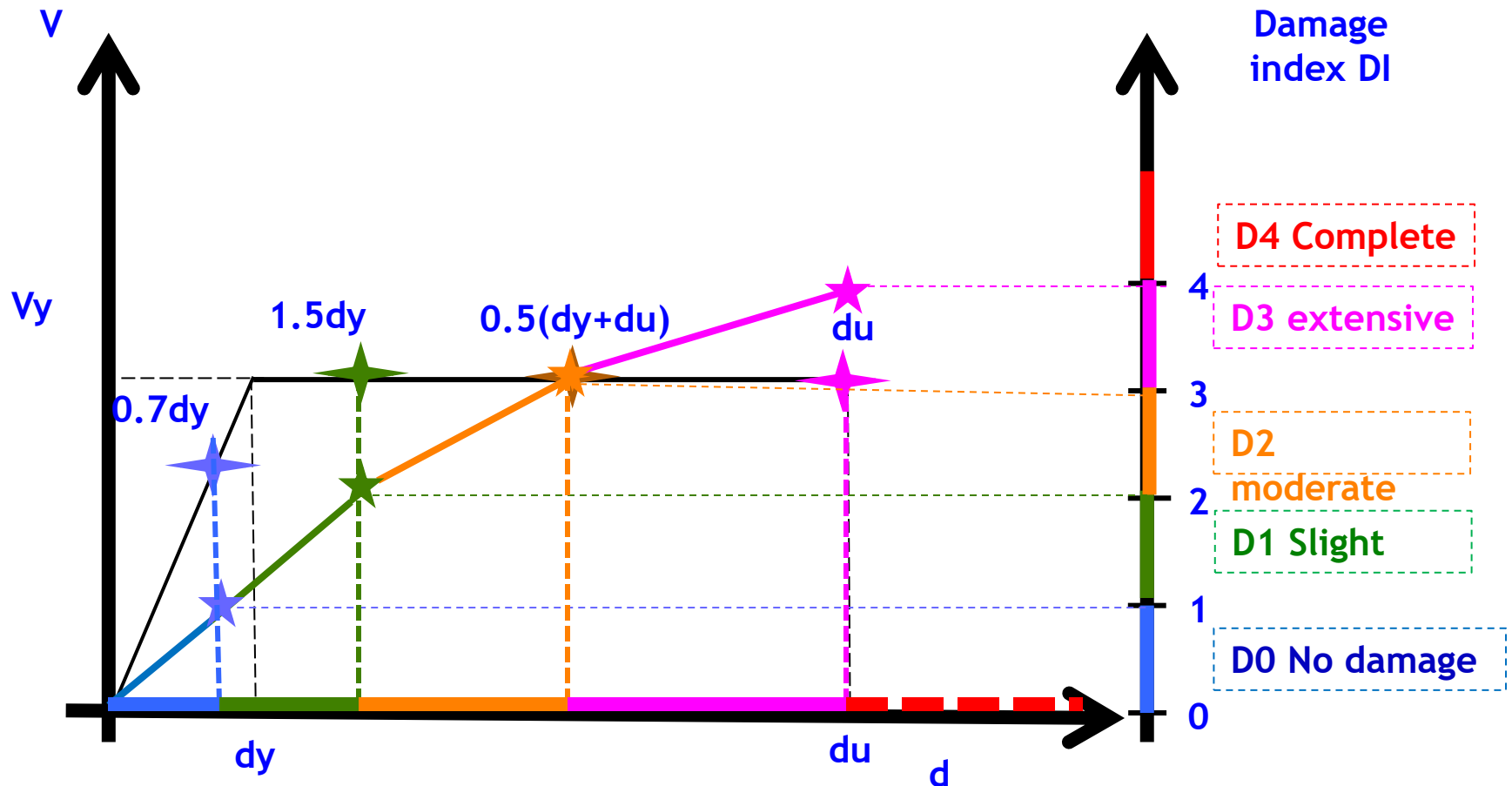
# Neural Network : principle



# Neural Network: Our case study



# Damage level index



Risk-UE project : correspondence between EMS98 damage states and maximum structural displacement (Lagomarsino and Giovinazzi, 2006)

# Performance of the ANN models

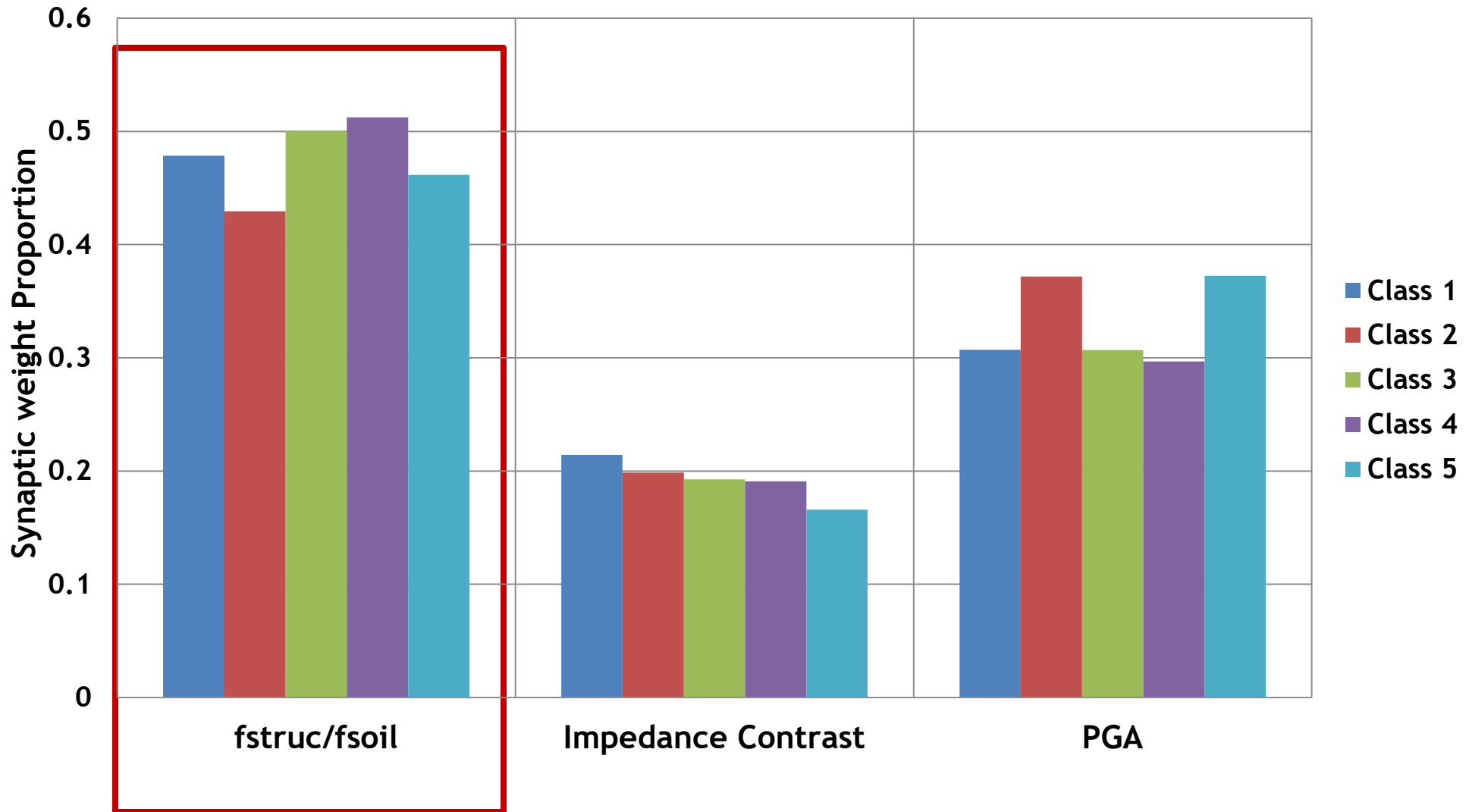
ANN Model / Vulnerability Class	Initial standard deviation	Error RMSE	RMSE Reduction	Variance reduction	Coefficient of determination $R^2$
Class 1 (Masonry)	0.182	0.126	31%	52%	0.81
Class 2 (Non-designed RC)	0.170	0.102	40%	64%	0.80
Class 3 (Low ductility RC)	0.172	0.112	35%	58%	0.81
Class 4 (Medium ductility RC)	0.153	0.094	39%	62%	0.81
Class 5 (High ductility RC)	0.147	0.096	35%	57%	0.82

**Variance Reduction 50-64% + Good  $R^2$**

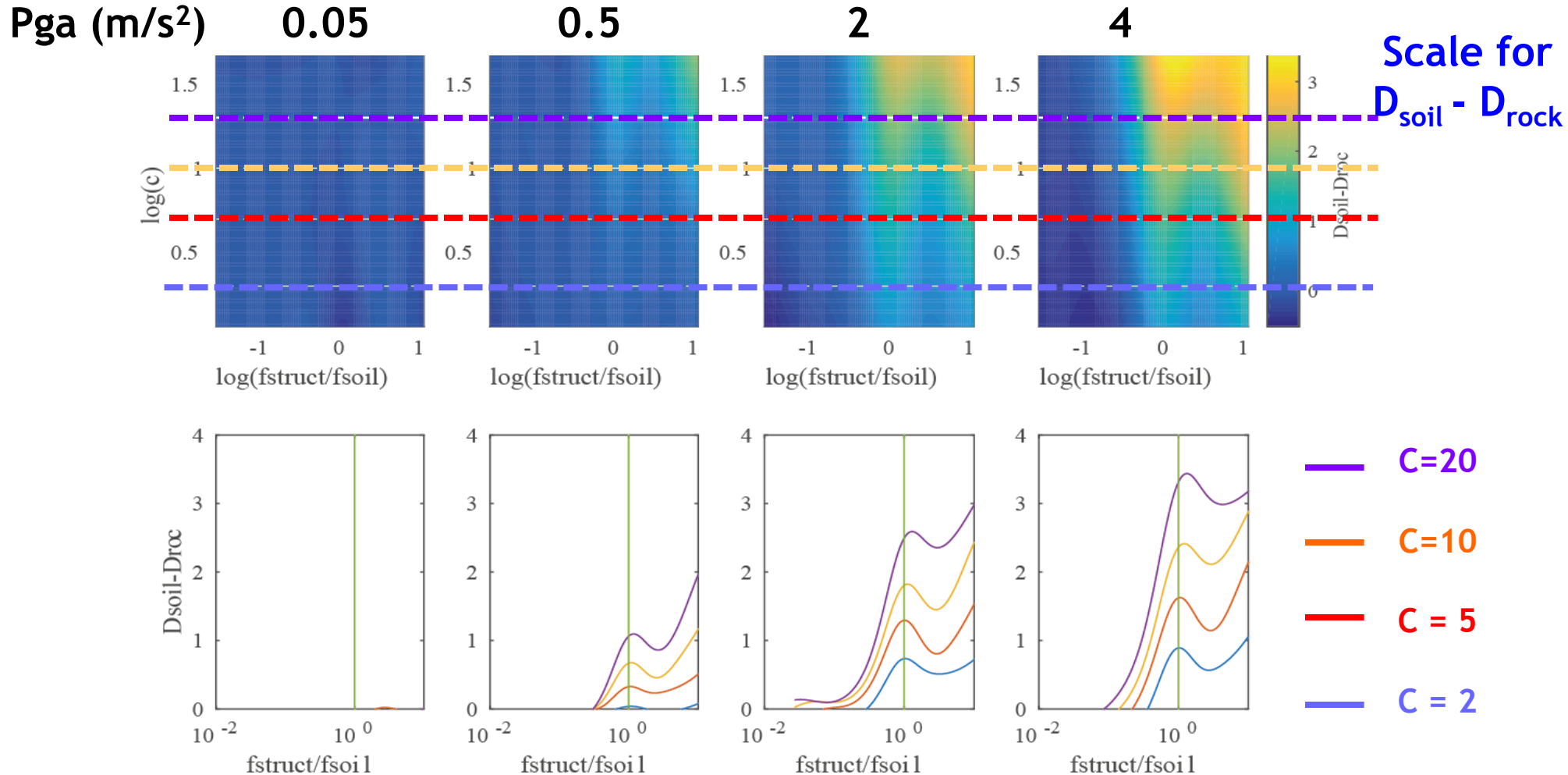


**Satisfactory performance  
(given the small number of input parameters)**

# Relative importance of input parameters : synaptic weights



# Dependence of damage increment on SSS inputs (example: class 3 - Low Ductility RC)



# Outline

Introduction

Proof of concept : comprehensive numerical simulation

**Robustness and field applicability**

Field applicability : site amplification proxy

NL soil behavior

(MDOF)

# Fiel applicability : Input parameters

Loading : PGA



Spectral coincidence :  $f_{struct} / f_{soil}$



Building mechanical behavior : typology class



Site amplification : velocity contrast  $C_v$



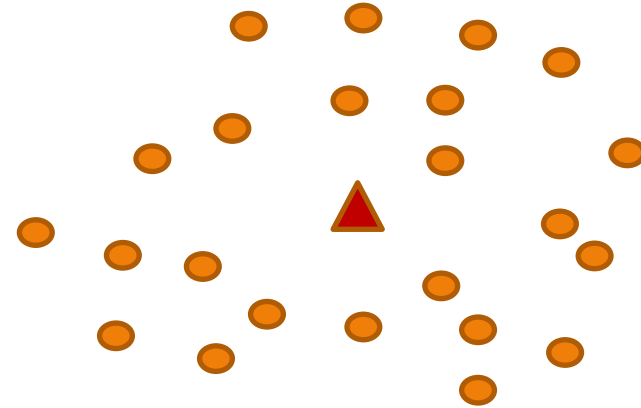
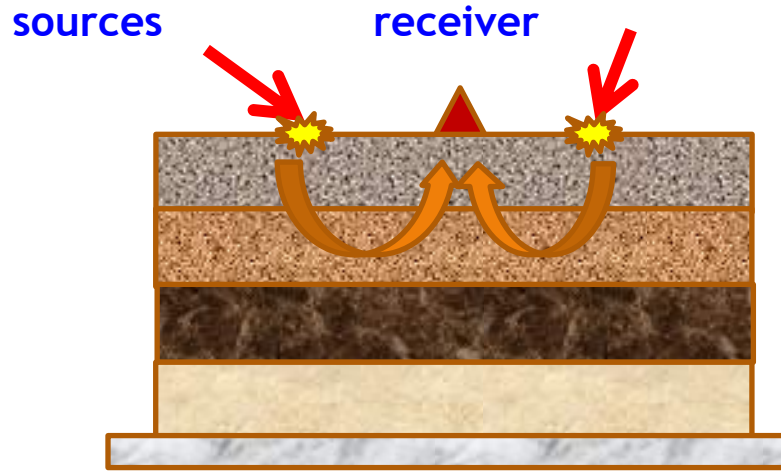
➤ ? Other site amplification proxies :  $V_{S30}$ ,  $V_{S10}$ ,  $A_{0HV}$ , ....



# Numerical simulation of ambient noise

*After Bonnefoy-Claudet et al., (2006)*

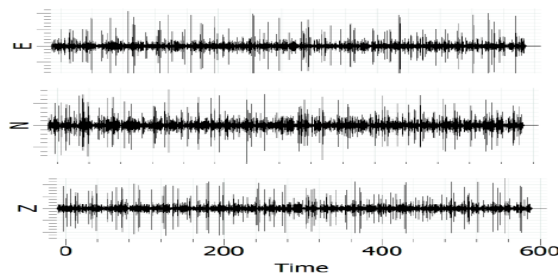
## Step 1: Definition of sources-receiver configuration



## Step 2: Computation of Greens functions : DWN

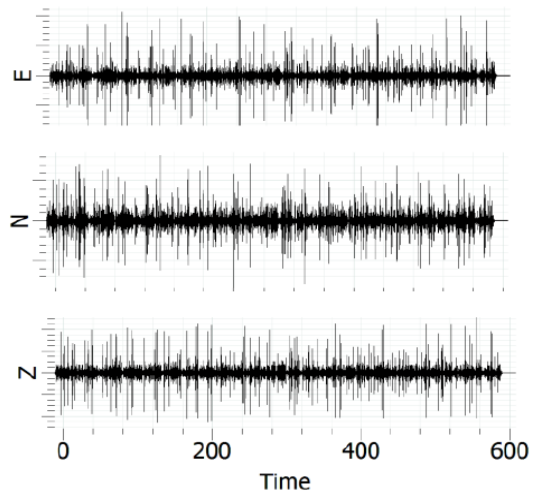
*[Hisada, 1995]*

## Step 3: Summation of all the individual noise synthetics in the time domain.

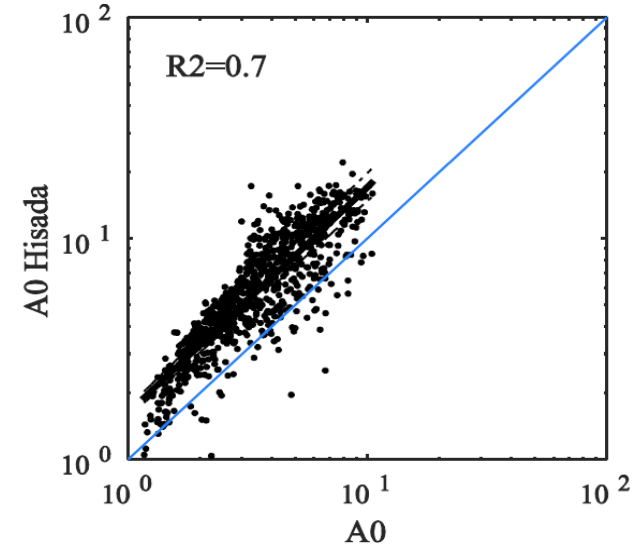
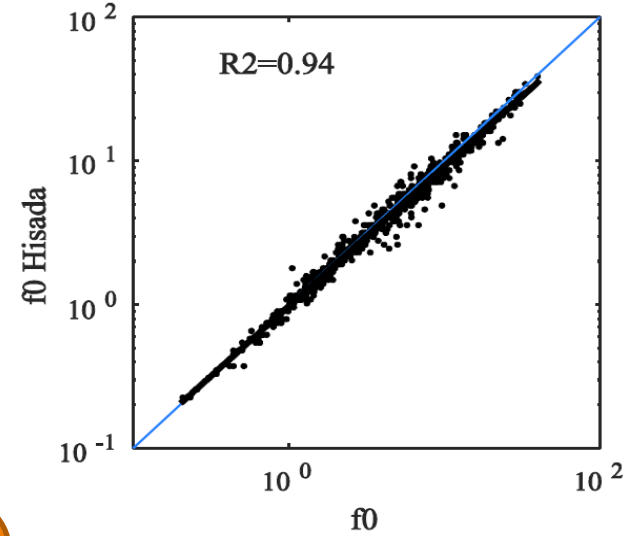
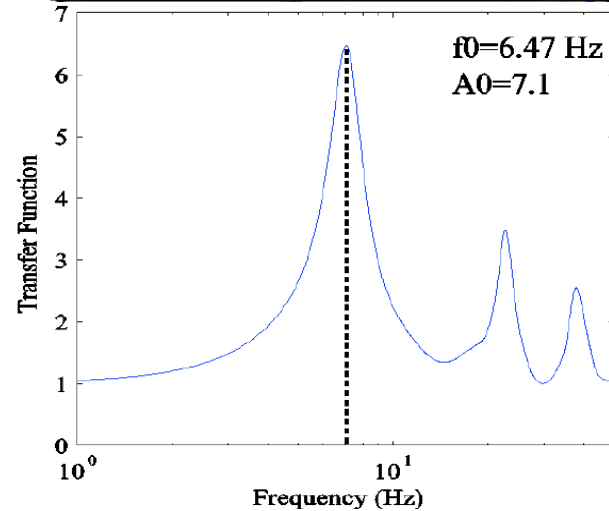
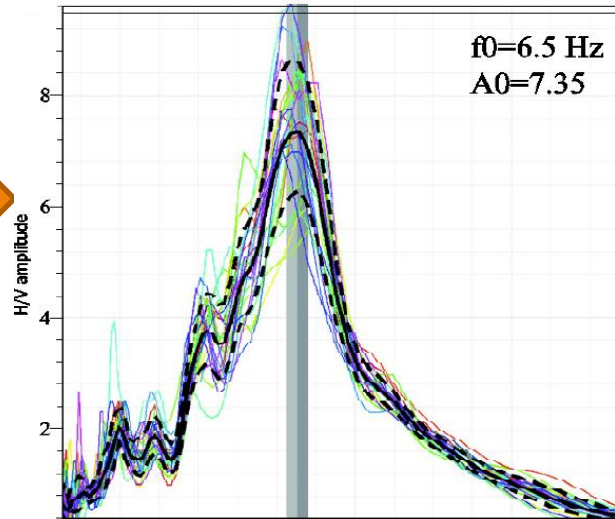


**Total ambient noise synthetics for each of the 887 soil profiles (5-10 min)**

# Derivation and check of the "expected" H/V spectral ratio



Geopsy



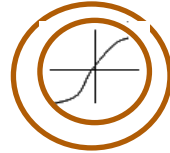
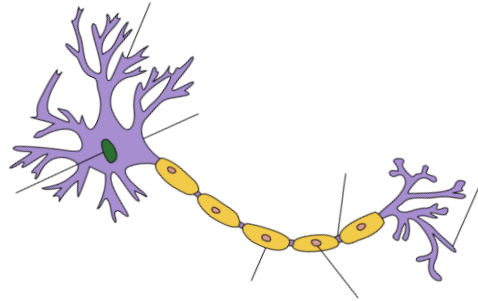
# Modified Neural Network

Inputs

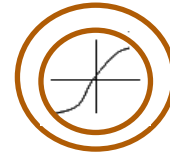
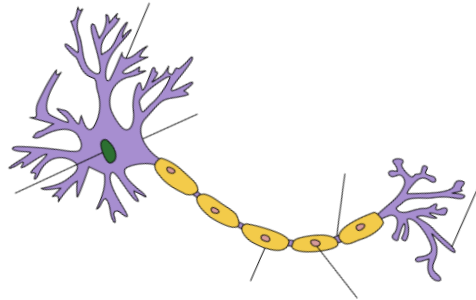
Hidden Layer

Class 3 Buildings

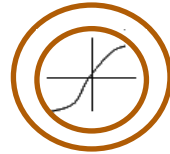
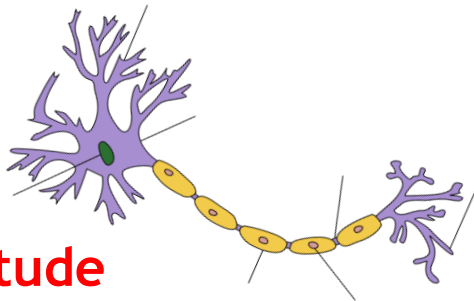
PGA



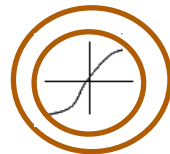
$f_{\text{struct}} / f_{\text{soil}}$



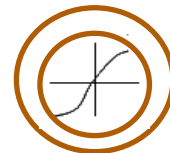
~~Velocity  
coefficient~~



H/V amplitude

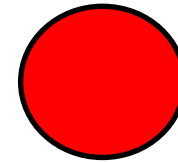


+ other "site proxies



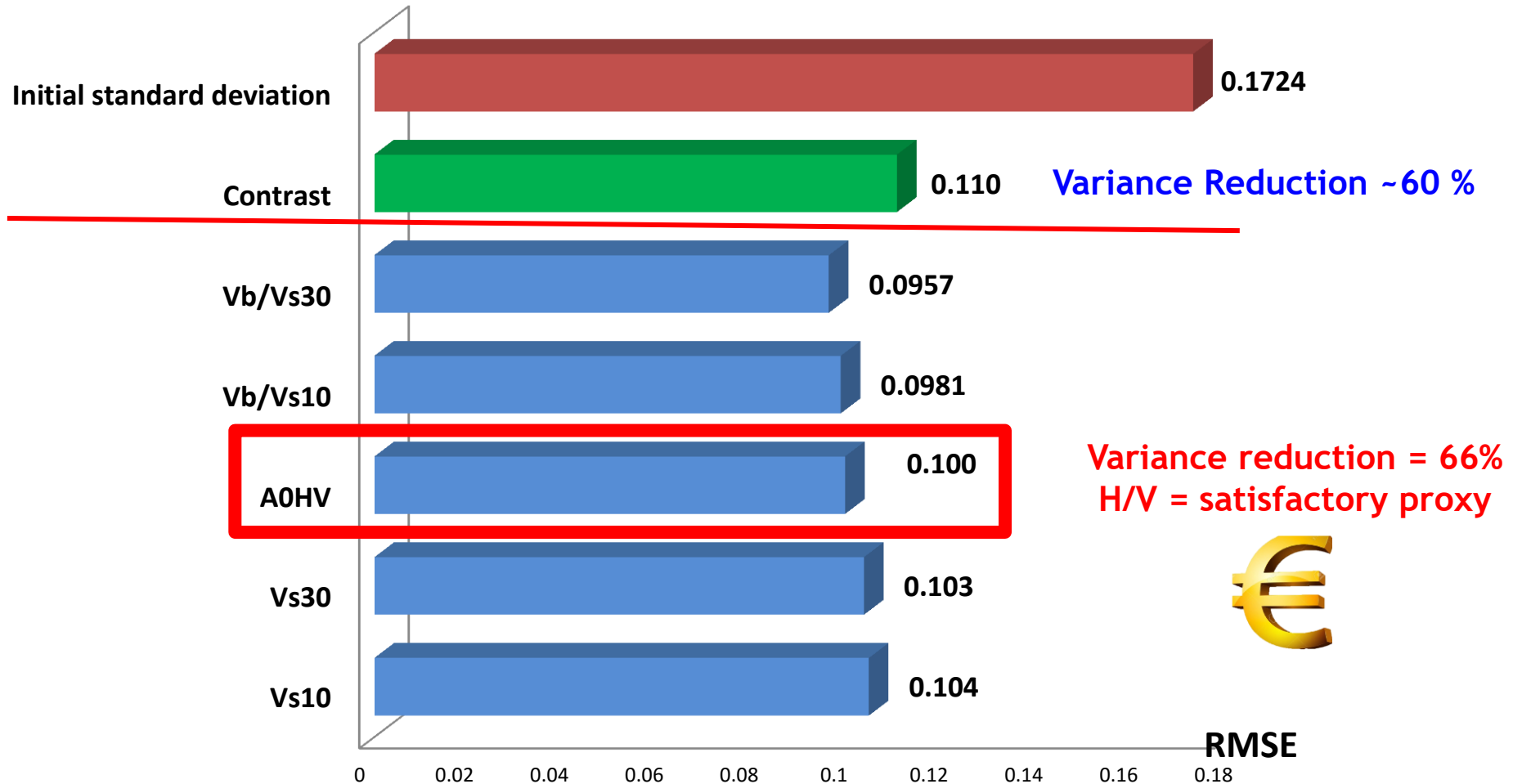
$V_{s30}, V_{s10}, V_b/V_{s30}, V_b/V_{s10}$

Target



$D_{i_{\text{soil}}} - D_{i_{\text{rock}}}$

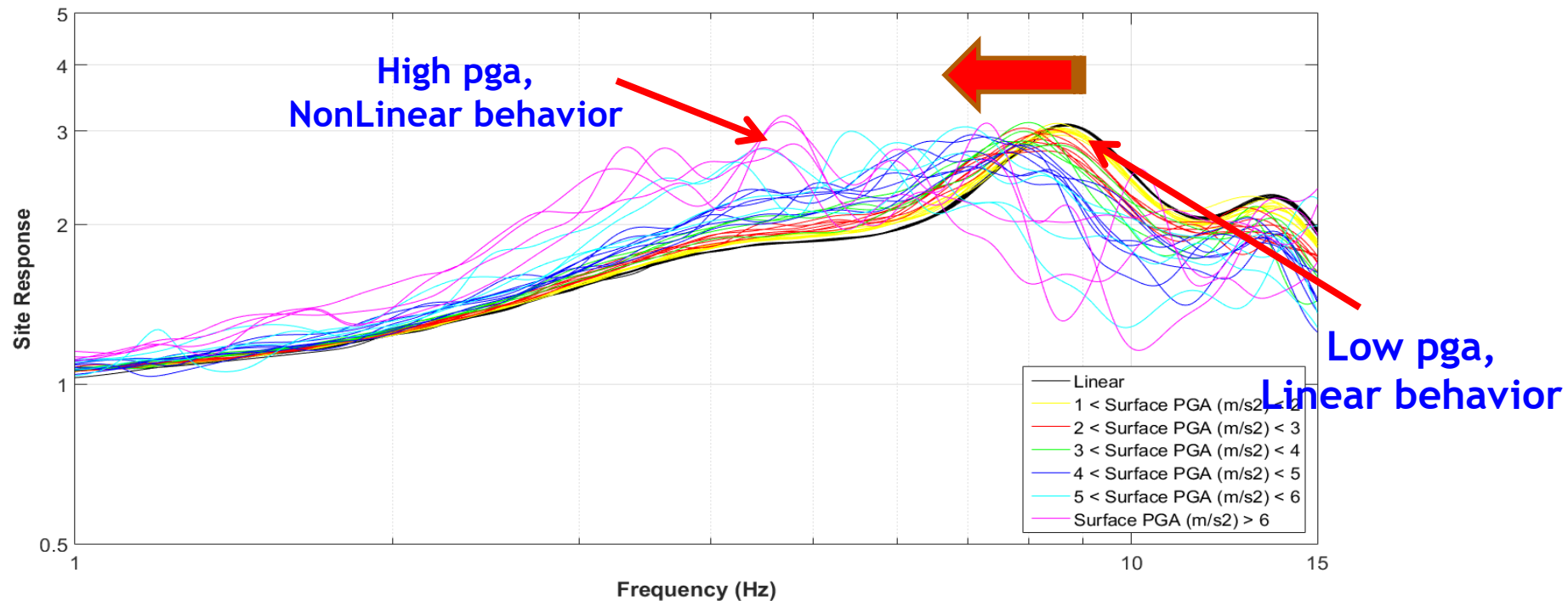
# Performance of each site amplification proxy : RMSE



# Robustness : accounting for soil non-linear response

Evolution of site transfer functions with PGA

(see also Almakari et al., ESG5 2016)



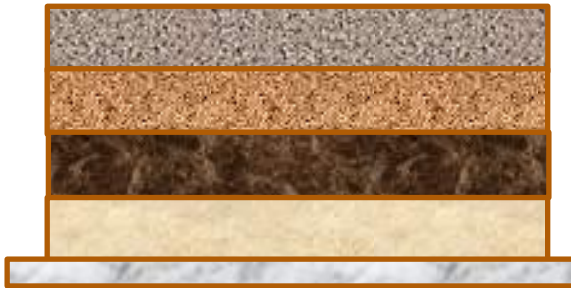
Shift of frequency towards lower values  
+ decrease of amplification

# Nonlinear simulations

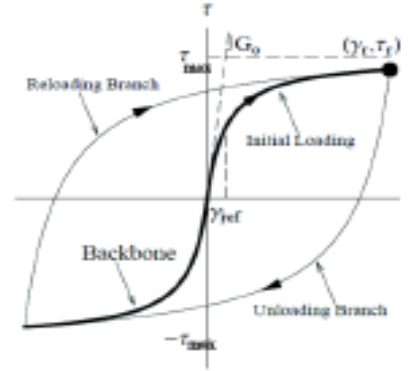
Finite difference software: NOAH

(Bonilla 2001)

Time domain, Hysteretic behavior



887 profiles



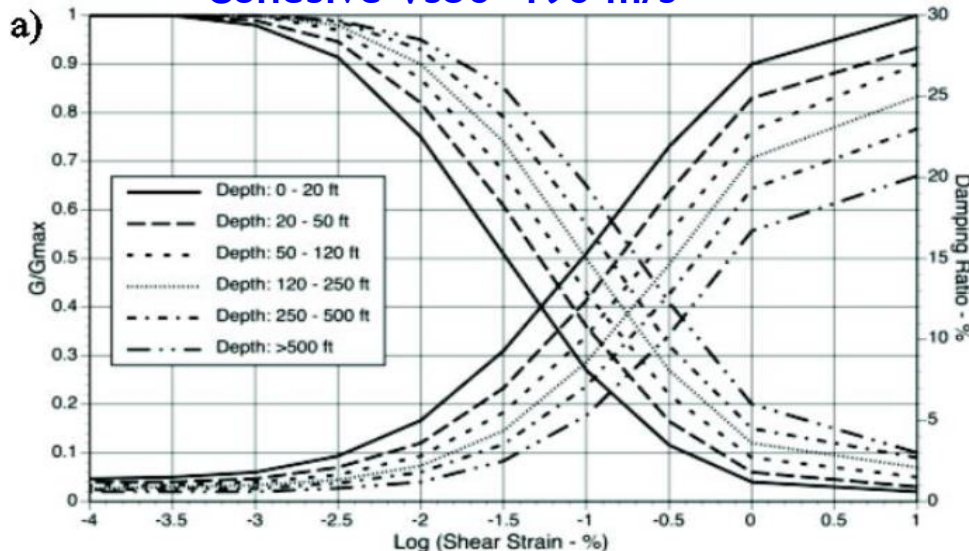
$$\frac{G}{G_0} = \frac{1}{1 + \left| \frac{\gamma_{xy}}{\gamma_{ref}} \right|}$$

$$\gamma_{ref} = \frac{\tau_{max}}{G_0}$$

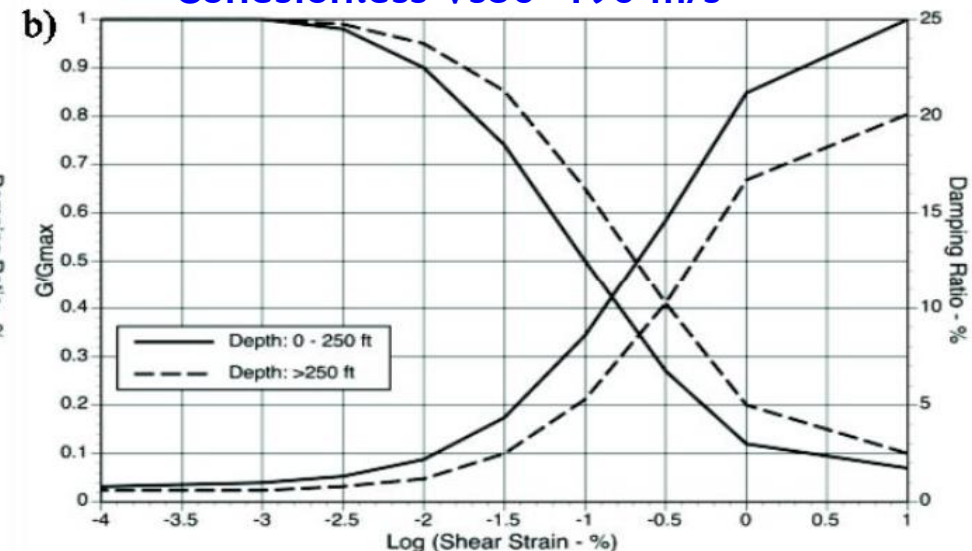
Site NL characteristics

PEER NGAW2 assumptions (Kamai et al., 2014)

Cohesive Vs30 < 190 m/s



Cohesionless Vs30 > 190 m/s



# New neural network

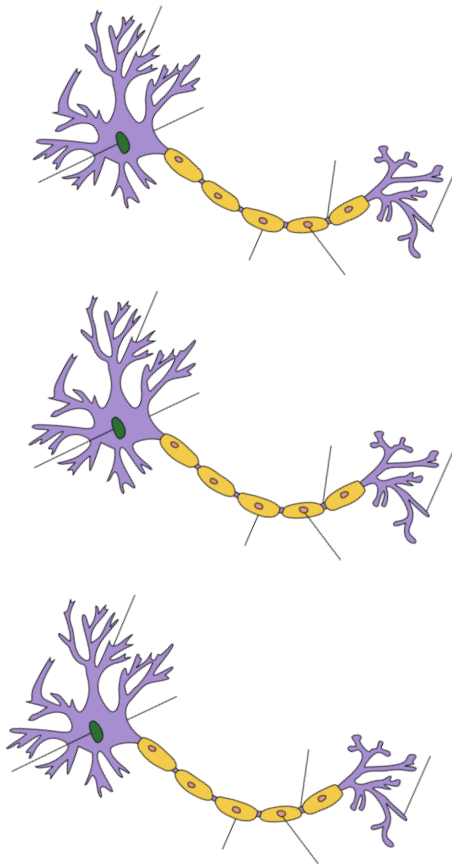
(Class 3)

Inputs

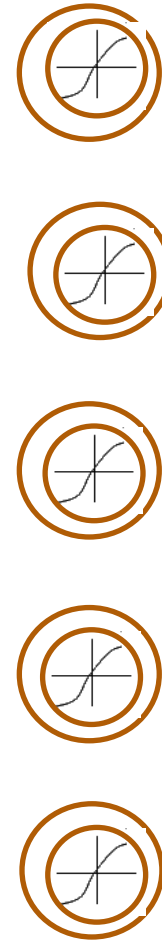
PGA

$f_{\text{struct}} / f_{\text{soil}}$

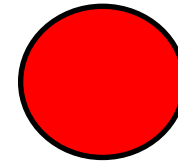
Velocity contrast



Hidden Layer



Target



Modified  $D_{\text{soil}} - D_{\text{rock}}$

Site Non-linear response +  
recomputation of  
oscillator response on soil

# Results with NL soil for building typology class 3

Pga (m/s<sup>2</sup>) :

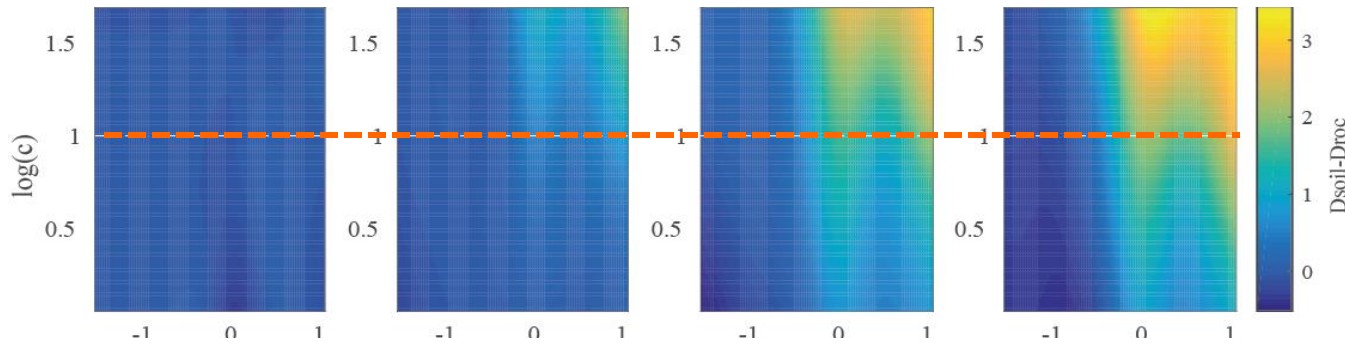
0.05

0.5

2

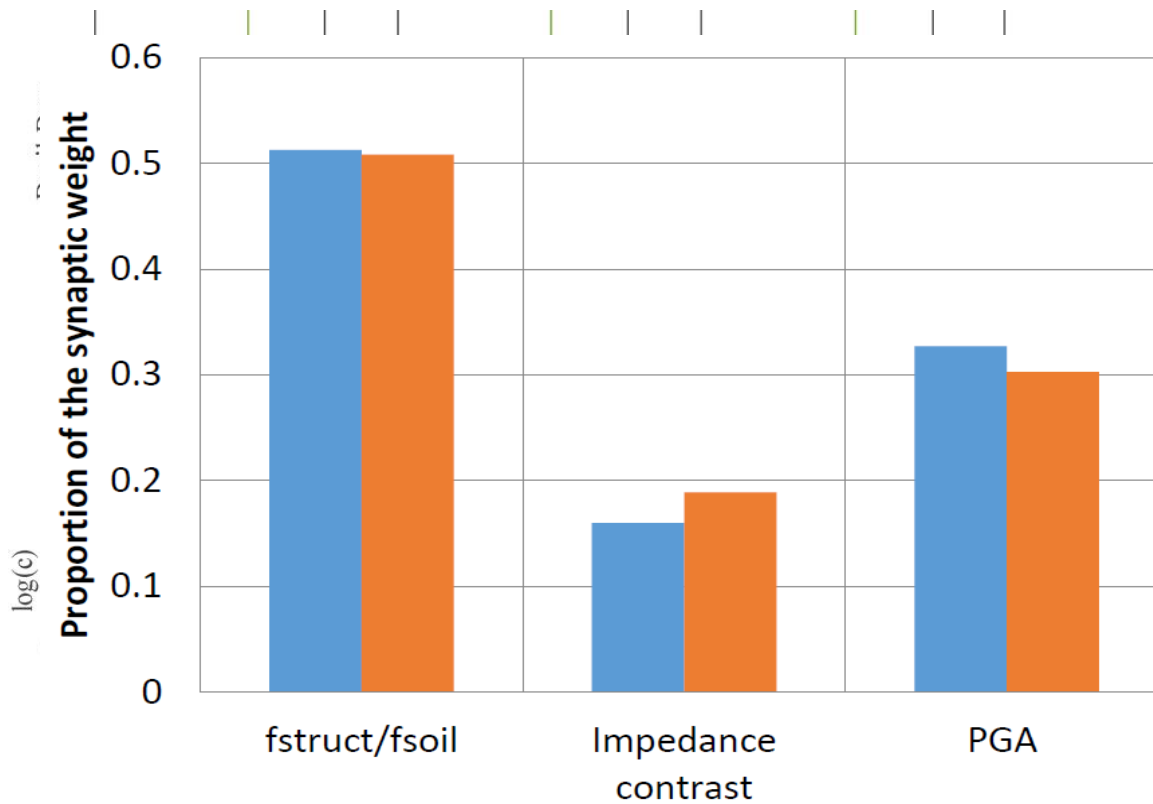
4

Linear



Slight modification (shift of frequency, reduction of the amplitude),  
but  $f_{struct}/f_{soil}$  remains the predominant parameter

Non-Linear



Damage increment

C = 10, L

C = 10, NL

Nonlinear

Linear



# Summary of ANN performances

		<b>Model 1</b> velocity contrast, linear site response	<b>Model 2</b> H/V amplitude, linear site response	<b>Model 3</b> Non-linear site response, impedance contrast
	<b>Site amplification proxy</b>	$C = V_{\max}/V_{\min}$	$A_{0HV}$	$C = V_{\max}/V_{\min}$
<b>Performance indicators</b>	Standard deviation (initial value : <b>0.1724</b> )	<b>0.112</b>	<b>0.099</b>	<b>0.103</b>
	Coefficient of determination $R^2$	<b>0.81</b>	<b>0.86</b>	<b>0.82</b>
<b>Synaptic weights</b>	$f_{\text{struct}}/f_{\text{soil}}$	<b>0.51</b>	<b>0.51</b>	<b>0.51</b>
	Site amplification proxy	<b>0.19</b>	<b>0.20</b>	<b>0.16</b>
	PGA	<b>0.30</b>	<b>0.29</b>	<b>0.33</b>

# Outline

Introduction

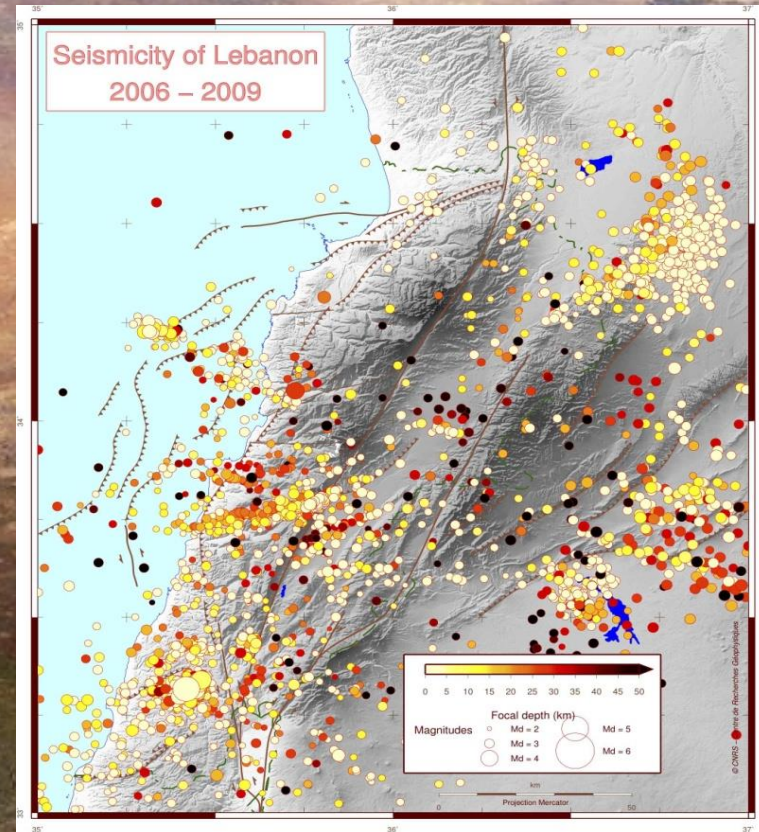
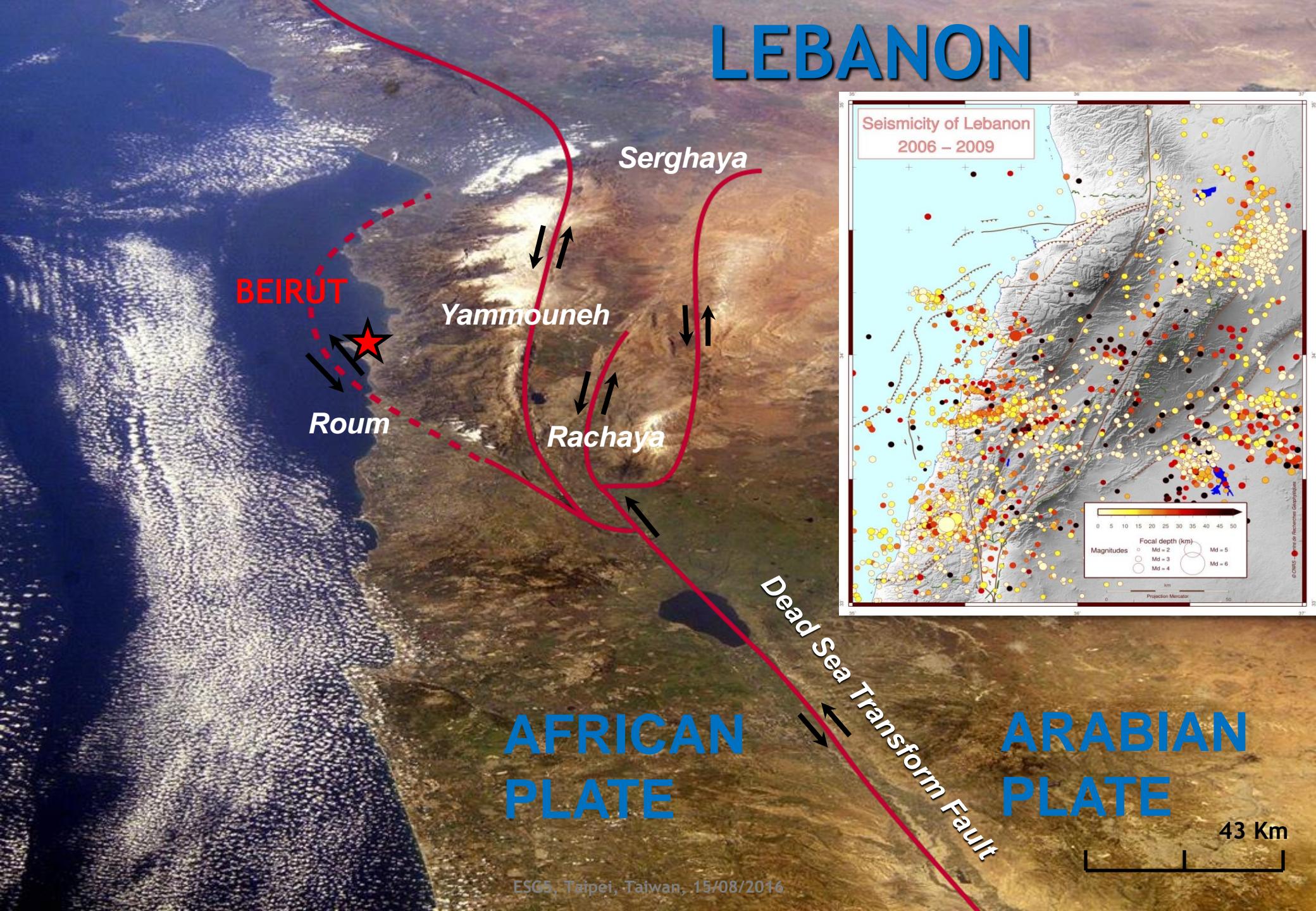
Conceptual model and comprehensive numerical simulation

Robustness and field applicability

**Sense-check : example application to Beirut City (Lebanon)**

- Seismic hazard in Beirut / Lebanon
- Gathering of required data for Beirut City : ambient vibration measurements at ground level and in buildings
- Results

# LEBANON



Needed :

Soil frequency

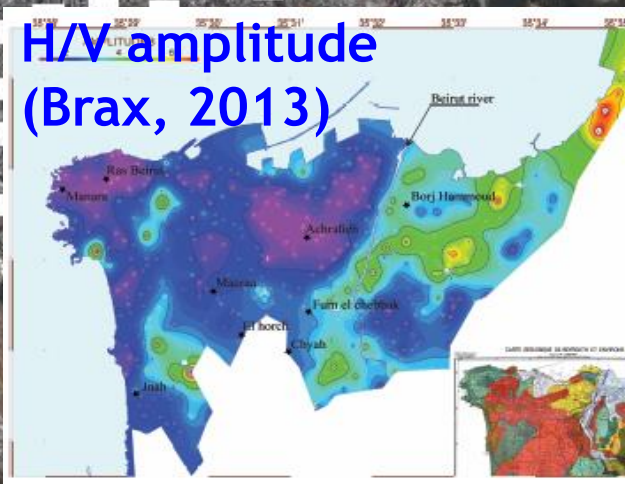
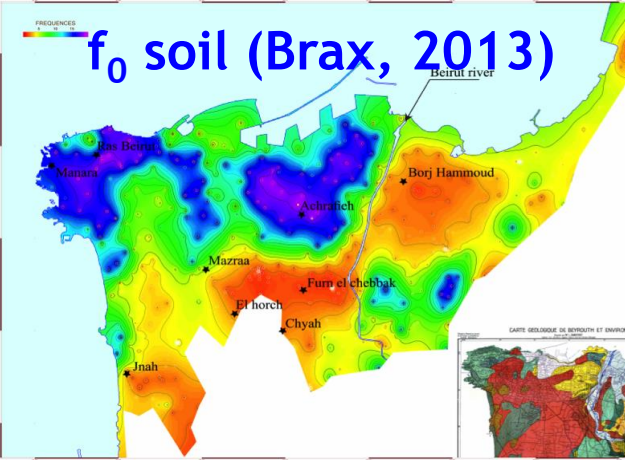
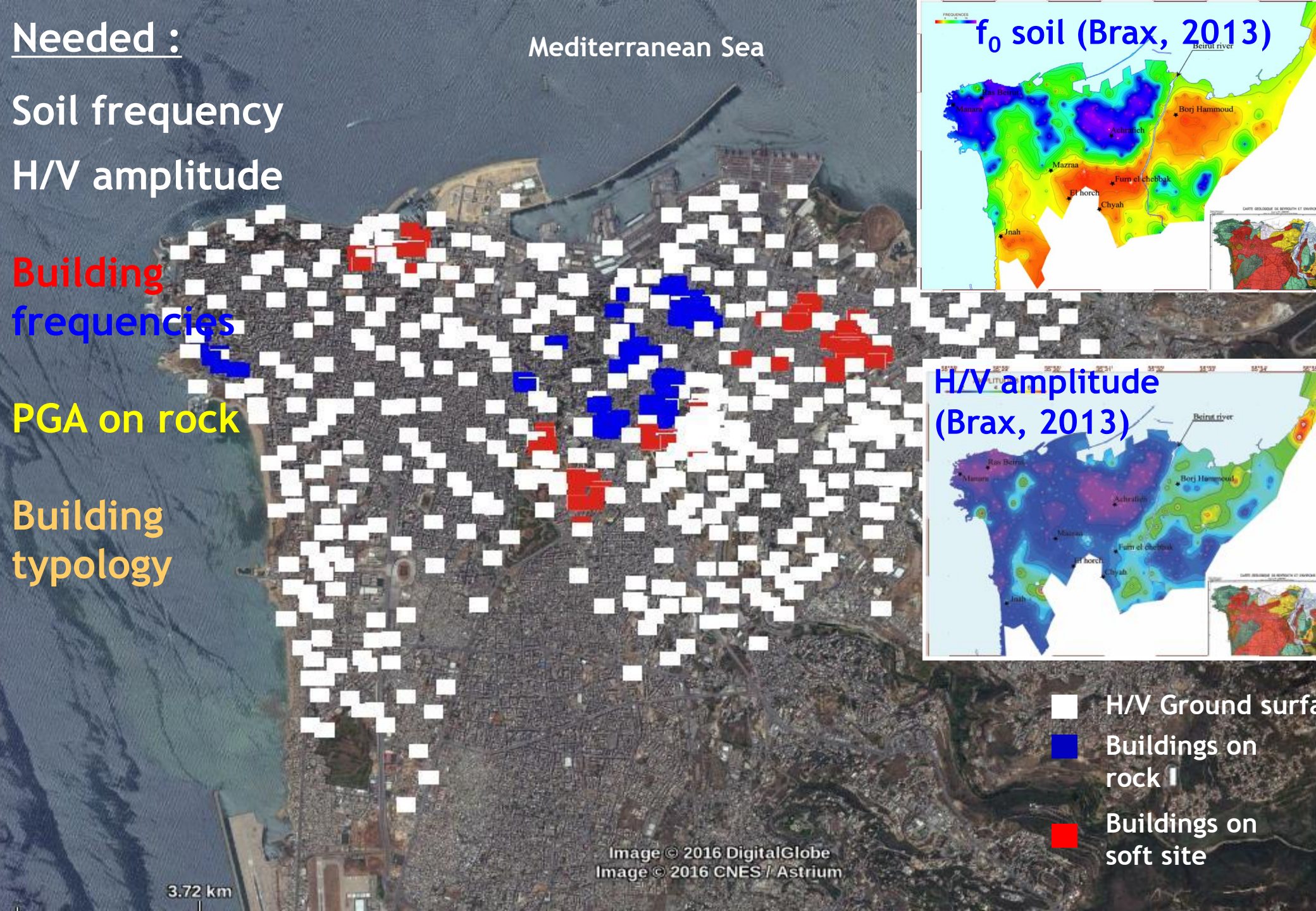
H/V amplitude

Building frequencies

PGA on rock

Building typology

Mediterranean Sea

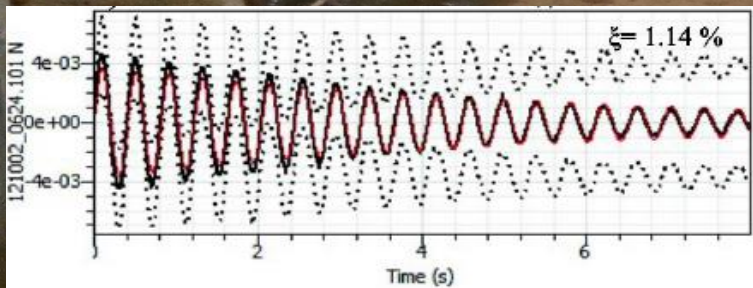
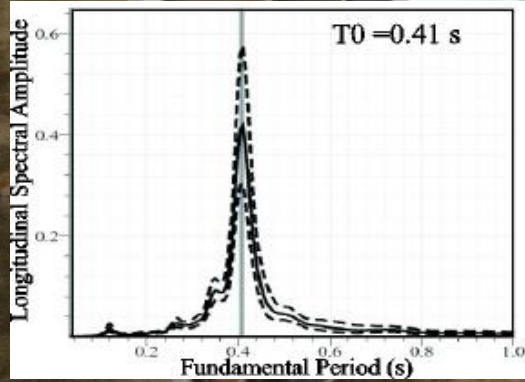
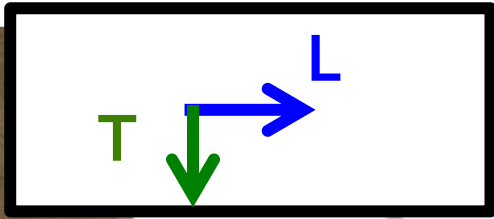


- H/V Ground surface
- Buildings on rock
- Buildings on soft site

3.72 km

Image © 2016 DigitalGlobe  
Image © 2016 CNES / Astrium

# CitySharkII recorder



Lennartz LE-3D-5s  
seismometer



# Building set Description

330 buildings = 660 frequency and damping values

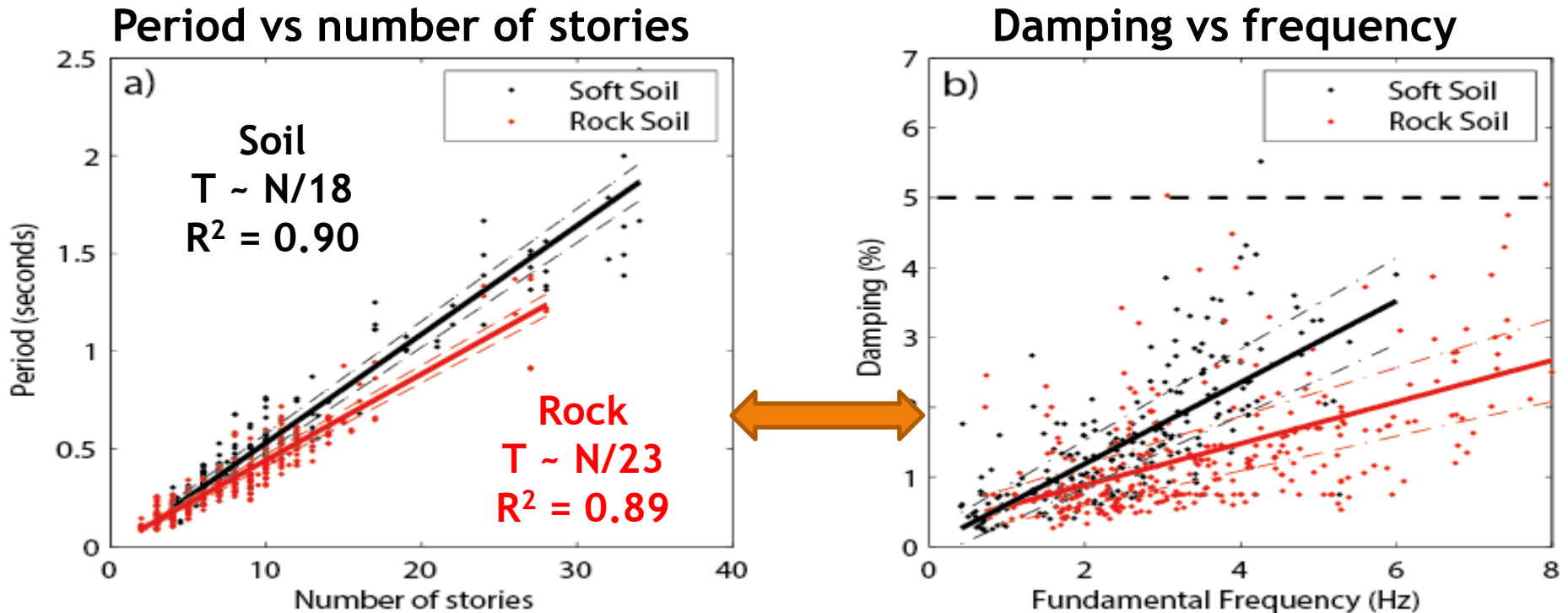
## Rock Sites

- 197 measurements
- Typology: reinforced concrete frames
  - N= 1-26 floors
- Age: 1910-2014

## "Soft" Sites

- 133 measurements
- Typology: reinforced concrete frames
  - N= 1-33 floors
- Age: 1910-2014

# Determination of empirical formulae for Beirut buildings



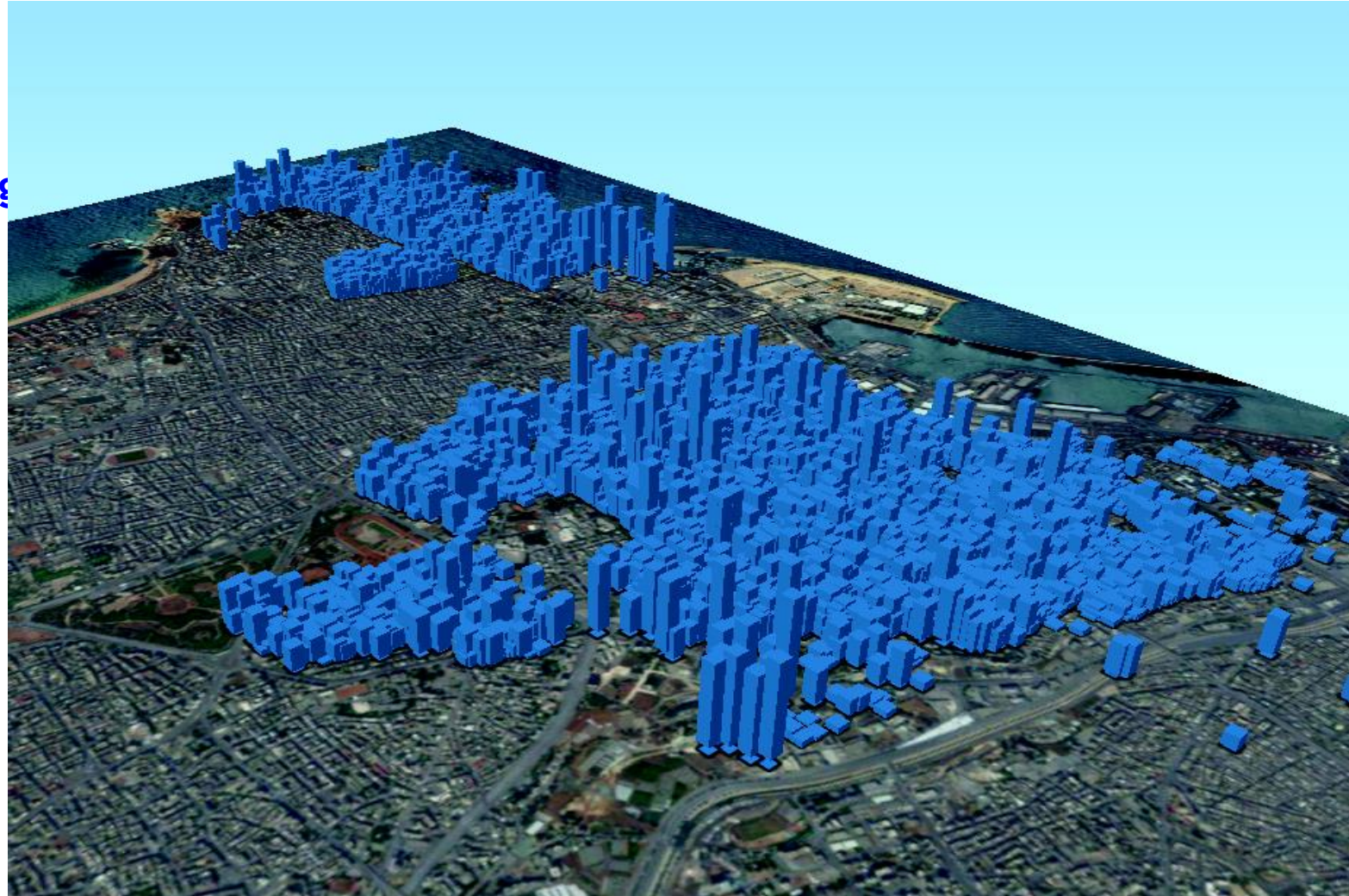
Longer periods on soils fully consistent with larger damping :  
indicative of some SSI (but with only slight frequency shifts)

# Building inventory

Survey of 7362 buildings by members of Saint Joseph University (USJ) noting

- the age of construction + material
- number of floors
- position of each building

→ Assignment of a period for each building in the surveyed areas  
 $T_0 = f(N, \text{geology})$





# Integration

## Typology class

### Class 1: Masonry

- <1950
- $N < 4$

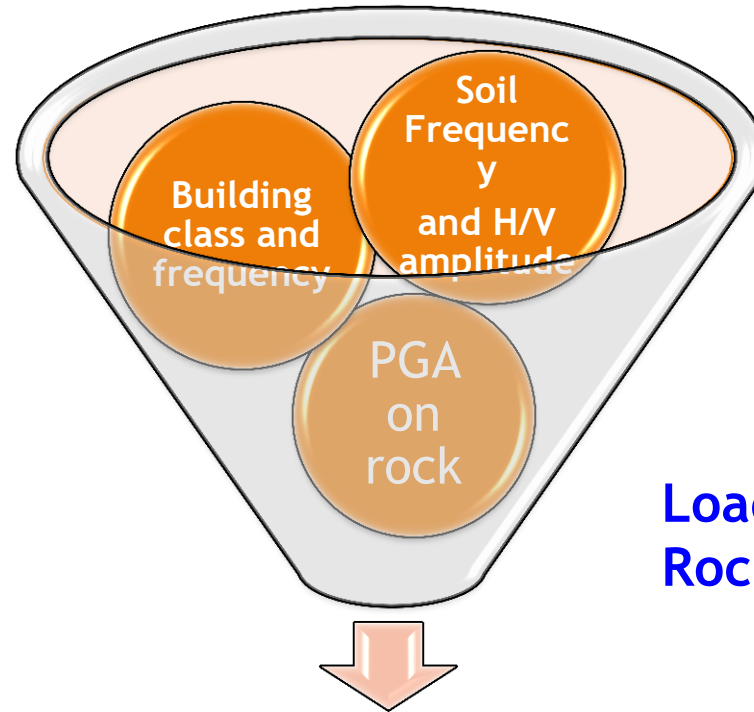
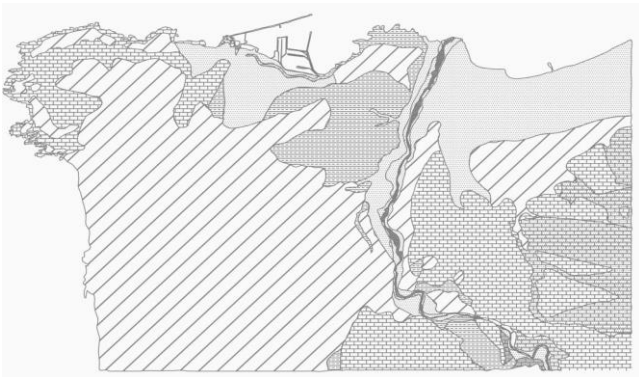
### Class 2: Non-designed RC

- 1950-2005
- <1950 &  $N \geq 4$

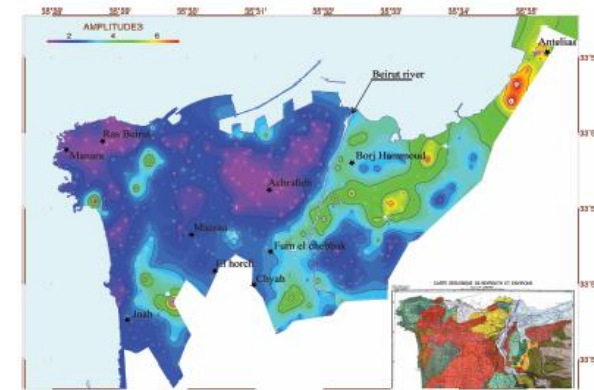
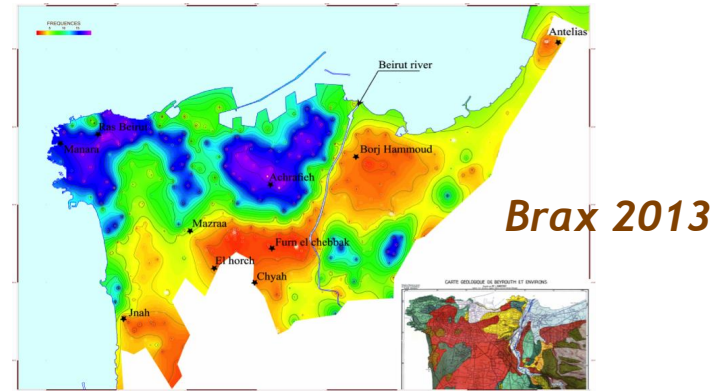
### Class 3: Low ductility

- >2005 (Lebanese seismic code introduction)

Period:  
 $T \sim N/23$  rock sites  
 $T \sim N/18$  soft sites

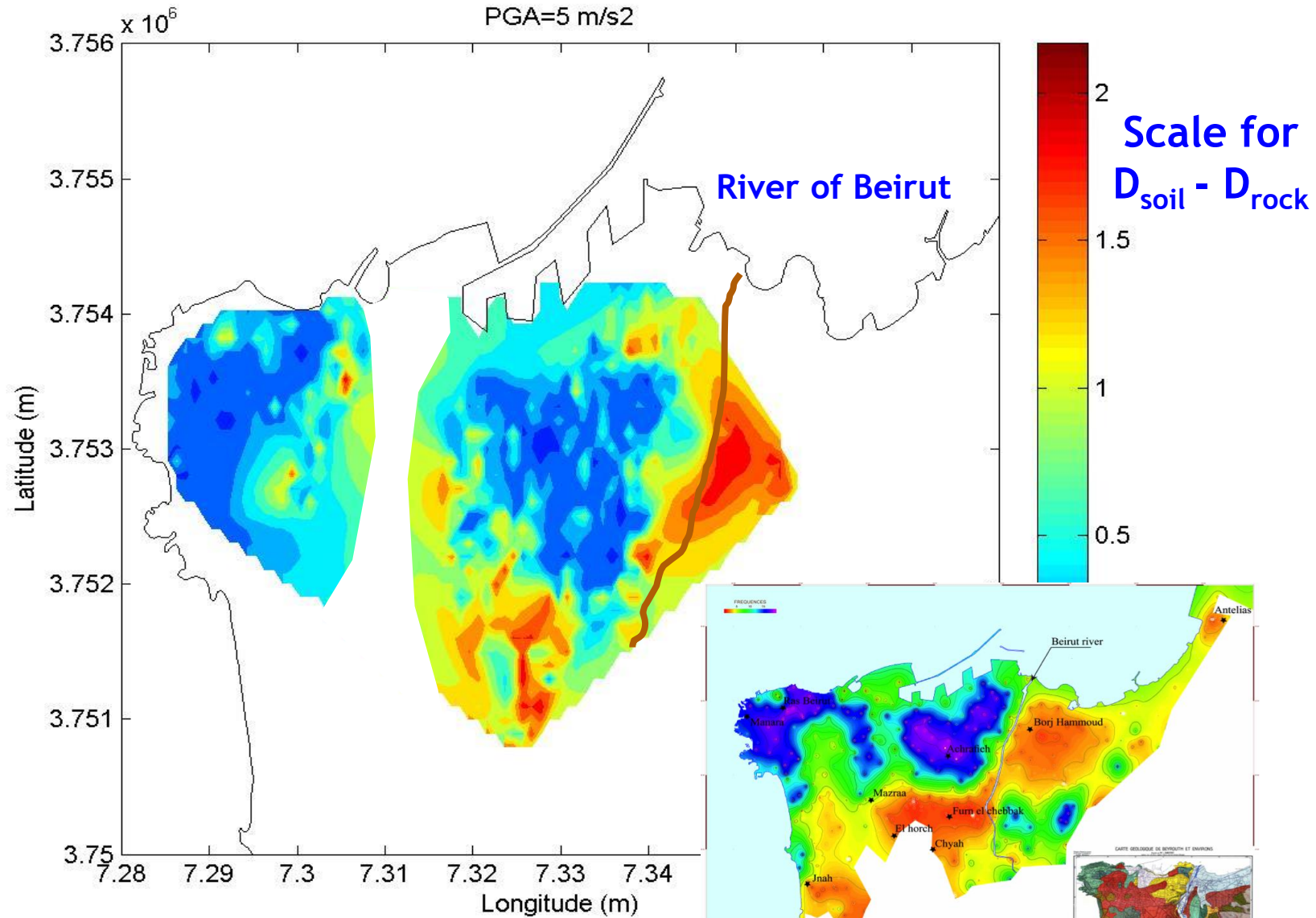
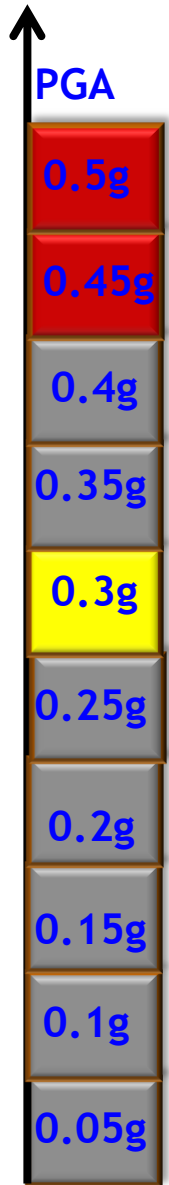


**Damage increment**

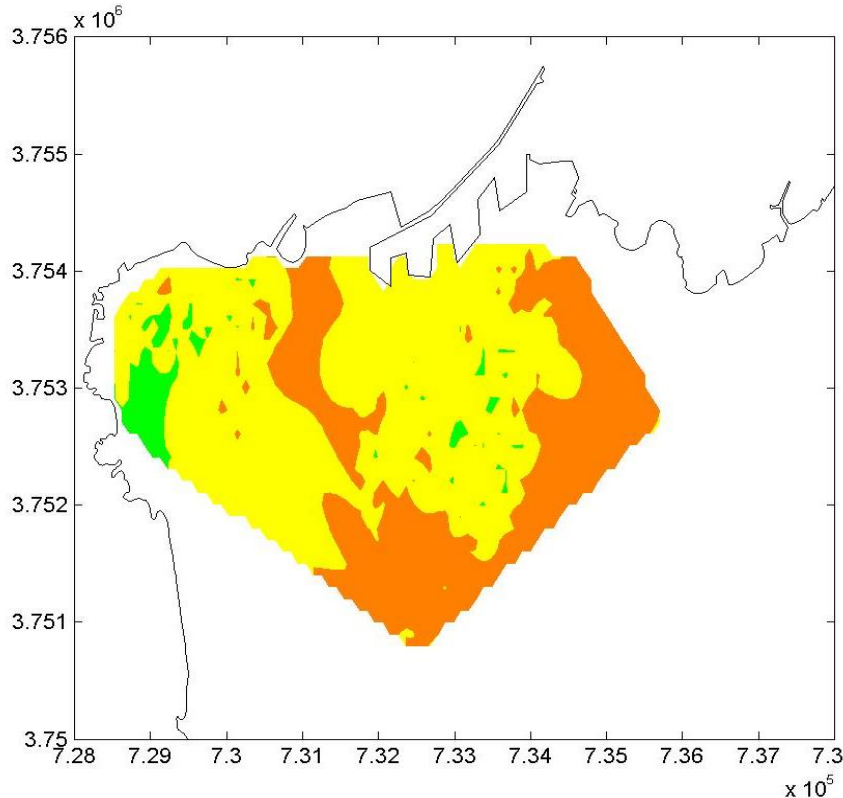
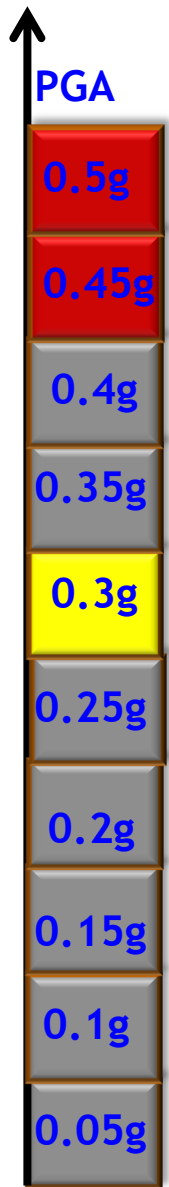


**Loading scenarii :**  
**Rock pga = 0.05g to 0.5g**

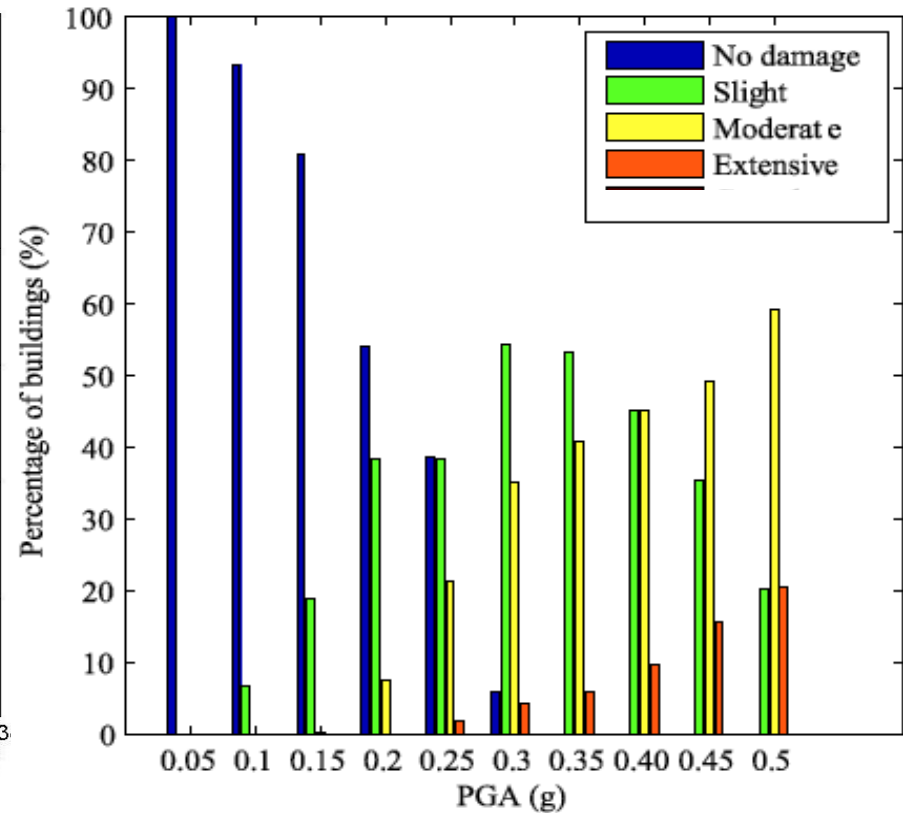
# Damage increment maps of Beirut



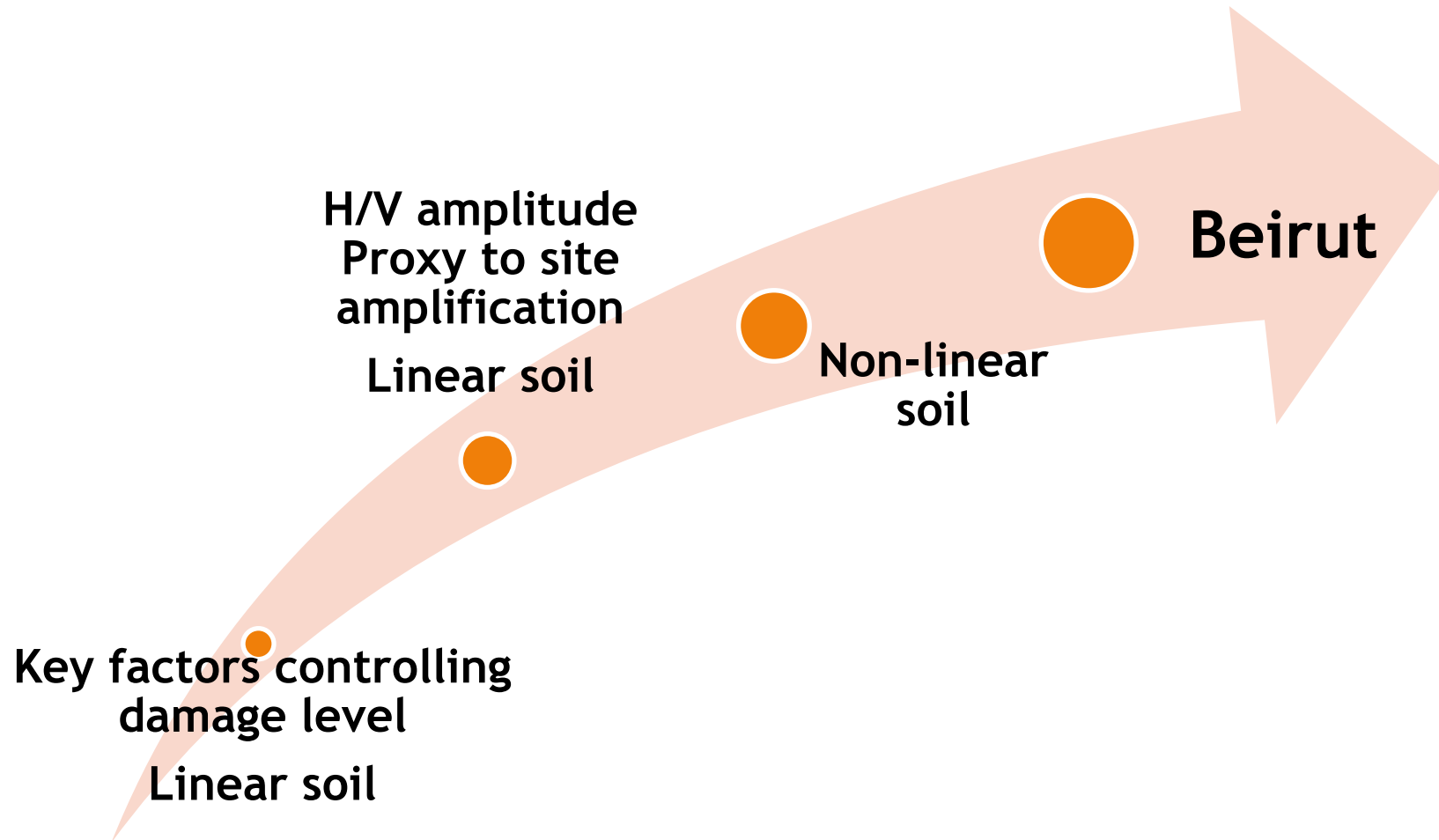
# Absolute damage in Beirut City



## Evolution of damage level proportions with pga

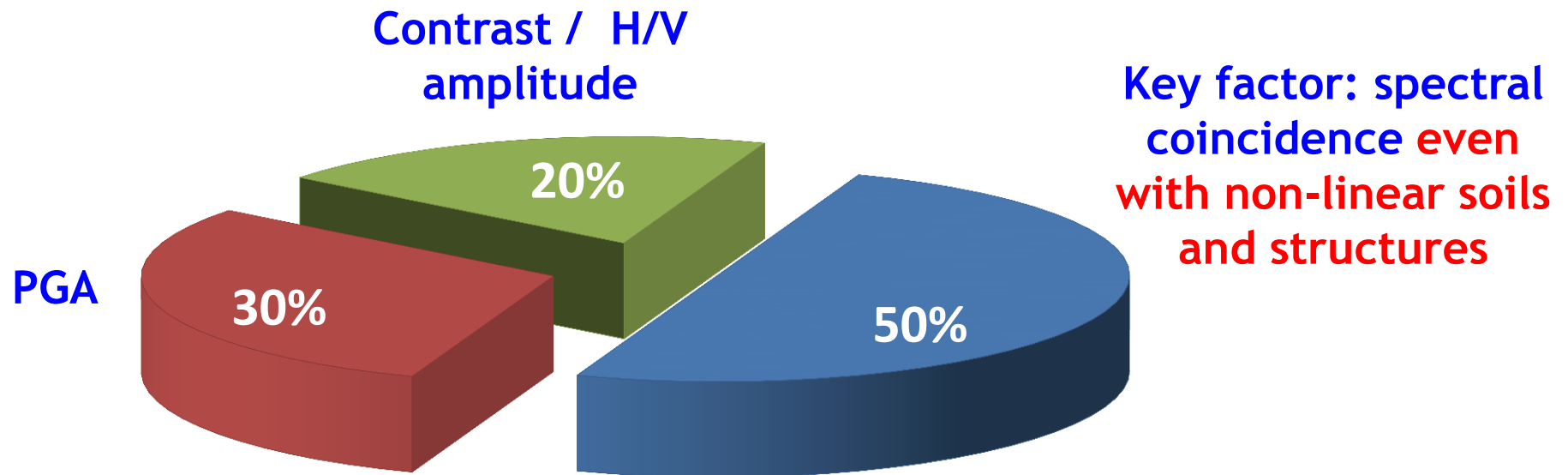


# Summary



# Conclusions

## 1. Key parameters controlling the rock to soil damage increment



## 1. Easy implementation based on

- Classical building inventory surveys
- Extensive use of ambient vibration measurements (ground level + building roofs)

# Quite promising approach, but ... a few caveats and further steps

## Limitations

## Perspectives

Input Synthetic accelerograms

Real accelerograms  
(No real change on NL site response)

Site Crude NGAW2 assumptions for NL site characteristics

More realistic NL behavior  
(Shallow NL underestimated, deep NL overestimated)

Definition of damage index

? Other ?

Structure SDOF structures only

MDOF  
(some changes, mostly in the linear domain)

Oversimplified elastoplastic model

More realistic structural NL models  
(Takeda, ...)

ANN model Neural networks : only 3 "basic parameters"

Other, or additional input parameters  
(loading : PGA  $\rightarrow$  spectral shape, ??...)

+ testing in areas recently hit by damaging earthquakes  
(ex.: Puerto Viejo, Ecuador)

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